**ORIGINAL ARTICLE**

Acellular pertussis vaccine given by accelerated schedule: response of preterm infants

M H Slack, D Schapira, R J Thwaites, C Schapira, J Bamber, M Burrage, J Southern, N Andrews, E Miller

Objective: To describe the immune response of preterm infants to a diphtheria/tetanus/three component acellular pertussis (DTaP) vaccine, under an accelerated schedule, and the effects of steroids on this response. To compare responses with those of term infants.

Design: Prospective observational study.

Setting: Five Wessex neonatal units; Herfordshire immunisation clinics.

Patients: Infants born at < 32 weeks; term controls.

Interventions: DTaP-Haemophilus influenzae type b vaccine given at 2, 3, and 4 months. Blood taken to assess antibody responses to vaccines.

Main outcome measures: IgG geometric mean concentrations (GMC) to vaccines.

Results: A total of 130 preterm (mean gestational age 29.1 weeks) and 54 term infants were recruited. After the third immunisation, preterm infants had similar GMCs to diphtheria, tetanus, filamentous haemagglutinin (FHA), and pertactin (PRN), but a significantly lower GMC to pertussis toxin (PT). Responses to tetanus and PRN increased with age at the third immunisation, and those to tetanus, FHA, PRN, and PT increased with gestational age at birth. Response to tetanus correlated negatively with the number of doses of antenatal steroids received. There was no association between responses and postnatal steroids.

Conclusion: When immunised with a combined acellular pertussis- H influenzae type b vaccine under an accelerated schedule, IgG GMC of preterm infants to PT was reduced. GMCs to tetanus, FHA, PRN, and PT increased with gestational age at birth, and GMCs to tetanus and PRN increased with age at the third immunisation. There is, however, no benefit in delaying immunisation. Anti-tetanus IgG decreased with increasing number of doses of antenatal steroids. There was no effect for postnatal steroids.

Acellular pertussis (aP) vaccines containing between one and five pertussis components are used in many countries for both primary and booster immunisations. Their acceptance is based on a reduced reactogenicity profile compared with whole cell pertussis (wP) vaccines and, for aP vaccines with three or more components, a similar level of protection against whooping cough. The degree of this efficacy depends, however, on the definition of disease used. Previous studies have shown that preterm infants, when immunised with a combined diphtheria/tetanus/pertussis (DTP), Haemophilus influenzae b (Hib), and meningococcal serogroup C (MCC) vaccines under an accelerated schedule at 2, 3, and 4 months. It is recommended that preterm infants be immunised at the same chronological age as term infants. Recent studies have shown that preterm infants, when immunised with DTwP, produce adequate antibody responses to the vaccine antigens under differing schedules: 2, 3, and 4 months, or 2, 4, and 6 months, or 3, 4, and 5 months, or 3, 4, and 10 months. However, there is little information on the response of preterm infants to DTaP vaccines, and none generated using the UK 2, 3, and 4 month schedule.

Preterm infants who develop chronic lung disease may be treated with steroids to reduce their need for ventilatory support; however, this practice is declining. The use of postnatal dexamethasone in the treatment of chronic lung disease has been suggested as one reason for the reduced immune response to Hib seen in some infants, although we found no evidence for an effect on either Hib or MCC responses. The effect of antenatal and postnatal steroids on the response of preterm infants to protein vaccines (DTP) has, to our knowledge, been described previously.

This is the first study to describe the immune response of preterm infants to a three component DTaP vaccine given under the United Kingdom’s accelerated schedule and to investigate the effects of steroids on their response.

**MATERIALS AND METHODS**

**Subjects**
Infants born at less than 32 completed weeks gestation were recruited from five neonatal units in one region of the United Kingdom: The Royal Hampshire County Hospital, Winchester; St Mary’s Hospital, Portsmouth; Princess Anne Hospital, Southampton; North Hampshire Hospital, Basingstoke; Poole General Hospital, Poole. Results for Hib and MCC have been published elsewhere.

Infants received their first immunisation as near to 2 months of age as clinically appropriate. Immunisation was delayed if the infant was still mechanically ventilated, clinically unstable, or if there were concerns about inter-current sepsis. A control group of term infants attending clinics in Herfordshire, England for routine immunisation were also recruited. Written informed consent was obtained.

**Abbreviations:** aP, acellular pertussis; DTP, diphtheria/tetanus/pertussis; FHA, filamentous haemagglutinin; GMC, geometric mean concentration; Hib, Haemophilus influenzae b; MCC, meningococcal serogroup C; PRN, pertactin; PT, pertussis toxin; wP, whole cell pertussis.
from the infants’ parents before enrolment, and ethical approval was obtained from the North Hertfordshire research ethics committee and the four local research ethics committees serving the five neonatal centres.

**Immunisations**

Both term and preterm infants received combined DTaP-Hib (Infanrix-Hib; GlaxoSmithKline, Rixensart, Belgium; 0.5 ml dose containing 30 IU diphtheria toxoid, 40 IU tetanus toxoid, 25 μg pertussis toxin (PT), 25 μg filamentous haemagglutinin (FHA), 8 μg pertactin (PRN), and 10 μg purified capsular polysaccharide of Hib covalently bound to about 30 μg tetanus toxoid) into the right thigh and MCC (Meningitec; Wyeth, Maidenhead, Berks, UK; 0.5 ml dose containing 10 μg meningococcal serogroup C oligosaccharide conjugated to about 15 μgCRM197 protein) into the left.

**Assays**

Blood was taken from the preterm infants by venepuncture into Microtainer Serum Separator tubes just before the first vaccination and 4–6 weeks after the third vaccination. Term infants had blood taken after the third immunisation only. Vaccine antibody titres were analysed by the Centre for Applied Microbiology and Research (CAMR), Salisbury, Wiltshire, UK by enzyme linked immunosorbent assay (ELISA).

**Statistical analysis**

Age at vaccination and gap from third dose to date of blood sample were compared with those in term infants using the Wilcoxon rank sum test; geometric means after third dose were compared using t tests. Single variable linear regression analysis was performed to evaluate the influence of preimmunisation IgG concentrations, gestational age at birth, birth weight, age at third immunisation, number of doses of antenatal steroids, and number of courses of postnatal steroids on the IgG response to each of the vaccine antigens. The influence of variables with p < 0.2 in the single variable analysis was examined further using multivariable regression.

### RESULTS

A total of 130 infants born at less than or equal to 32 completed weeks gestation were recruited to the study; 128 completed their primary immunisations (77 boys, 60%). Two infants died before their third immunisation, the cause of death being unconnected with vaccination. No other infants were lost to follow up. After Hib and MCC analysis, insufficient blood was available to perform DTP serology on three infants, and in a further nine infants serology was incomplete. One infant who did not complete primary immunisations until 232 days was excluded from the final analysis. Fifty four term infants (34 boys, 63%) were recruited to the control group. The mean gestational age at birth of the preterm infants was 29.1 weeks (range 24.3–31.7), and mean weight at birth and first immunisation was 1270 g (range 490–2114) and 2594 g (range 1470–4020) respectively. Table 1 shows the mean age in days at the first and third immunisation.

![Table 1](http://fn.bmj.com/Arch Dis Child Fetal Neonatal Ed: first published as 10.1136/fn.89.1.F57 on 1 January 2004. Downloaded from http://fn.bmj.com on October 21, 2023 by guest. Protected by copyright.)

<table>
<thead>
<tr>
<th>Antibody</th>
<th>IgG GMC</th>
<th>Percentage increase per week of gestational age at birth</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diphtheria</td>
<td>0.015 (0.013 to 0.019)</td>
<td>7.5 (3.4 to 1.5)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Tetanus</td>
<td>0.17 (0.14 to 0.22)</td>
<td>11.5 (7.4 to 15.7)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>FHA</td>
<td>2.35 (1.99 to 2.77)</td>
<td>8.1 (4.7 to 11.3)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>PRN</td>
<td>1.75 (1.44 to 2.12)</td>
<td>5.9 (2.0 to 9.8)</td>
<td>0.004</td>
</tr>
<tr>
<td>PT</td>
<td>1.51 (1.25 to 1.83)</td>
<td>9.7 (6.1 to 13.4)</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

Values in parentheses are 95% confidence intervals. GMC, Geometric concentration; FHA, filamentous haemagglutinin; PRN, pertactin; PT, pertussis toxin.

No infant in either the preterm or term group experienced adverse events after immunisation that served as contraindications to subsequent immunisation.

Table 2 shows the pre-immunisation IgG geometric mean concentrations (GMCs) to the vaccine antigens in the preterm infants.

All showed strong positive correlations with increasing gestational age at birth. Table 3 shows IgG GMCs one month after completion of the primary schedule for preterm and term infants. Compared with term infants, preterm infants had similar responses to diphtheria toxoid, tetanus toxoid, FHA, and PRN, but a significantly decreased response to PT. The proportion of preterm infants achieving a ≥ 4-fold rise in diphtheria toxoid, tetanus toxoid, FHA, PRN, and PT titres was 99%, 57%, 91%, 93%, and 83% respectively. Fourfold rises were not calculated for term infants, as no pre-immunisation blood was taken.

Table 4 shows single variable linear regression analysis of the influence of a number of variables on the final IgG GMC against each vaccine.

Each variable gave a p value of < 0.2 for at least one of the IgG responses and so all were included in the multivariable model. Table 5 shows the results of the multivariable analysis.

**Influence of age on post-immunisation responses**

In the multivariable model, IgG concentrations in response to tetanus toxoid, FHA, PRN, and PT correlated positively with gestational age at birth. In addition, IgG concentrations in response to tetanus toxoid and PRN correlated positively with age at third immunisation.
Preterm infants’ response to diphtheria/tetanus/pertussis vaccine

**Table 3** IgG geometric mean concentrations in response to vaccine antigens for preterm and term infants one month after third dose of vaccines

<table>
<thead>
<tr>
<th>Antibody</th>
<th>Preterm</th>
<th>Term</th>
<th>p Value*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diphtheria</td>
<td>1.50 (1.33 to 1.68) n = 120</td>
<td>1.75 (1.36 to 2.26) n = 46</td>
<td>0.20</td>
</tr>
<tr>
<td>Tetanus</td>
<td>2.9</td>
<td>3.0</td>
<td>0.20</td>
</tr>
<tr>
<td>FHA</td>
<td>54.7 (48.2 to 62.1) n = 119</td>
<td>59.8 (48.7 to 73.4) n = 47</td>
<td>0.46</td>
</tr>
<tr>
<td>PRN</td>
<td>82.7 (70.1 to 97.3) n = 122</td>
<td>86.6 (64.9 to 115.4) n = 49</td>
<td>0.77</td>
</tr>
<tr>
<td>PT</td>
<td>21.0 (18.4 to 23.9) n = 122</td>
<td>33.4 (28.2 to 39.5) n = 50</td>
<td>−0.001</td>
</tr>
</tbody>
</table>

Values in parentheses are 95% confidence intervals.

*Test:
FHA, filamentous haemagglutinin; PRN, pertactin; PT, pertussis toxin.

Influence of pre-immunisation IgG concentrations
The final IgG concentrations in response to tetanus toxoid, FHA, and PRN in preterm infants correlated negatively with pre-immunisation levels. For PT the negative association did not reach significance, and for diphtheria toxoid there was no evidence of an association.

Influence of birth weight
IgG responses to tetanus toxoid and PT correlated negatively with birth weight. We found no evidence for an association between responses to diphtheria toxoid, FHA, and PRN and weight at birth.

**Table 4** Single variable linear regression analysis of influence of gestational age, birth weight, pre-immunisation IgG levels, and use of antenatal and postnatal steroids on IgG response of preterm infants to vaccine antigens

<table>
<thead>
<tr>
<th>Percentage change in IgG titre per unit change in variable</th>
<th>Gestational age (weeks)</th>
<th>Birth weight (100 g)</th>
<th>Pre-immunisation IgG level (IU/ml)</th>
<th>Age at completion (weeks)</th>
<th>Antenatal steroids (number of doses)</th>
<th>Postnatal steroids (number of courses)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diphtheria</td>
<td>+1.6††</td>
<td>+1.0††</td>
<td>+0.68</td>
<td>+0.82</td>
<td>−3.2</td>
<td>−1.0</td>
</tr>
<tr>
<td>Tetanus</td>
<td>−1.3</td>
<td>−0.92</td>
<td>−1.5††</td>
<td>+4.7††</td>
<td>−6.2††</td>
<td>+4.0</td>
</tr>
<tr>
<td>FHA</td>
<td>−0.7</td>
<td>−0.70</td>
<td>−1.3††</td>
<td>+2.4††</td>
<td>−2.1††</td>
<td>+12.6††</td>
</tr>
<tr>
<td>PRN</td>
<td>+0.07</td>
<td>−0.43</td>
<td>−27†††</td>
<td>+3.4††</td>
<td>−2.6††</td>
<td>−2.9</td>
</tr>
<tr>
<td>PT</td>
<td>+1.2</td>
<td>−0.51</td>
<td>−2.9</td>
<td>−0.05</td>
<td>−3.1††</td>
<td>−4.9††</td>
</tr>
</tbody>
</table>

*Example: 1.6% increase in diphtheria IgG for each week of gestational age at birth.
†p < 0.05; ††p < 0.01; †††p < 0.001.
FHA, filamentous haemagglutinin; PRN, pertactin; PT, pertussis toxin.

**Table 5** Multivariable analysis of influence of gestational age, birth weight, pre-immunisation IgG levels, age at completion of immunisations, and use of antenatal and postnatal steroids on IgG response of preterm infants to vaccine antigens

<table>
<thead>
<tr>
<th>Percentage change in IgG titre per unit change in variable</th>
<th>Gestational age (weeks)</th>
<th>Birth weight (100 g)</th>
<th>Pre-immunisation IgG level (IU/ml)</th>
<th>Age at completion (weeks)</th>
<th>Antenatal steroids (number of doses)</th>
<th>Postnatal steroids (number of courses)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diphtheria</td>
<td>+2.2††</td>
<td>+0.7</td>
<td>−4.5</td>
<td>+1.1</td>
<td>−1.8</td>
<td>+4.8</td>
</tr>
<tr>
<td>(−2.5 to +7.0)</td>
<td>p = 0.36</td>
<td>p = 0.58</td>
<td>(−16.0 to +7.0)</td>
<td>p = 0.042</td>
<td>(−8.3 to +4.8)</td>
<td>p = 0.44</td>
</tr>
<tr>
<td>Tetanus</td>
<td>+1.1††</td>
<td>+2.8</td>
<td>−18.3</td>
<td>+5.3</td>
<td>−9.2††</td>
<td>−2.6††</td>
</tr>
<tr>
<td>(+1.5 to +12.7)</td>
<td>p = 0.014</td>
<td>p = 0.044</td>
<td>(+31.6 to −5.0)</td>
<td>p = 0.002</td>
<td>(−16.8 to −1.7)</td>
<td>p = 0.79</td>
</tr>
<tr>
<td>FHA</td>
<td>+4.9</td>
<td>+0.01</td>
<td>−10.1</td>
<td>+2.2††</td>
<td>−1.6††</td>
<td>−10.6††</td>
</tr>
<tr>
<td>(+0.9 to +10.9)</td>
<td>p = 0.03</td>
<td>p = 0.007</td>
<td>(+32.8 to −1.2)</td>
<td>p = 0.001</td>
<td>−1.2††</td>
<td>p = 0.07††</td>
</tr>
<tr>
<td>PRN</td>
<td>+7.4</td>
<td>+0.12</td>
<td>−5.6</td>
<td>+2.6††</td>
<td>−1.8††</td>
<td>+0.16††</td>
</tr>
<tr>
<td>(+1.5 to +13.3)</td>
<td>p = 0.02</td>
<td>p = 0.012</td>
<td>(+37.7 to −2.2)</td>
<td>p = 0.014</td>
<td>−12.6††</td>
<td>+0.16††</td>
</tr>
<tr>
<td>PT</td>
<td>+10.6</td>
<td>−0.7</td>
<td>−11.3</td>
<td>−0.9</td>
<td>−6.8††</td>
<td>+11.2††</td>
</tr>
<tr>
<td>(+5.2 to +15.9)</td>
<td>p = 0.015</td>
<td>p = 0.12</td>
<td>(+36.8 to +11.1)</td>
<td>p = 0.002</td>
<td>−6.8††</td>
<td>+11.2††</td>
</tr>
</tbody>
</table>

Values in parentheses are 95% confidence intervals.
*Example: 2.2% increase in diphtheria IgG for each week of gestational age at birth.
FHA, filamentous haemagglutinin; PRN, pertactin; PT, pertussis toxin.

Steroid use
Of the 124 infants for whom responses to DTP were available, 101 (81%) received antenatal steroids. Antenatal steroid status of a further four infants was unknown. There was no association between maternal antenatal steroid status and response to diphtheria toxoid, FHA, PRN, or PT. However, response to tetanus toxoid correlated negatively with the number of doses of antenatal steroids received by the infants’ mothers (anti-(tetanus toxoid) IgG GMCs for 0, 1, 2, 3, and 4 doses of antenatal steroids were 1.75, 1.02, 1.06, 1.01, and 0.83 IU/ml respectively; p = 0.017). Fifteen infants (12%) received postnatal dexamethasone for chronic lung disease.
No infant was still receiving steroids at the time of immunisation. There was no association between the use of postnatal steroids and response to diphtheria toxoid, tetanus toxoid, FHA, PRN, or PT. However, because of the low number of infants receiving postnatal steroids, their effect could not be estimated with high precision, as shown by the wide confidence intervals.

**DISCUSSION**

We are the first to describe the response of preterm infants to acellular vaccines under non-accelerated schedules. In addition, the preterm infants in this study were of a lower mean gestational age at birth than in the two previously reported studies investigating the response of preterm infants to acellular vaccines under non-accelerated schedules.

Schloesser et al. showed that preterm infants (mean gestational age 30.8 weeks) immunised with a two component aP vaccine containing PT and FHA given at 2, 4, and 6 months had a reduced GMC for both PT and FHA compared with term infants, although the proportion of infants achieving a greater than fourfold rise in antibody concentration was high. A second study investigating the response to a combined three component DTaP-hepatitis B vaccine, containing PT, FHA, and PRN given at 3, 5, and 11 months, found that preterm infants (mean gestational age 32 weeks) had a similar response to tetanus toxoid, PT, and FHA to term infants, but a significantly reduced response to diphtheria toxoid and PRN.

We have shown that, compared with term infants, responses to diphtheria toxoid, tetanus toxoid, FHA, and PRN antigens are similar, whereas that to PT is decreased when a three component aP vaccine is given under an accelerated schedule. Except for the tetanus toxoid response, the proportion of preterm infants achieving a ≥ 4-fold rise in IgG titre in response to the vaccine antigens was high.

Maternally derived IgG crosses the placenta in the last trimester of pregnancy. It is therefore not surprising that pre-immunisation IgG concentrations to vaccine antigens increased with increasing gestational age at birth. However, we have also shown that IgG concentrations in response to tetanus toxoid, FHA, and PRN after immunisation correlated negatively with those before. This may be explained by higher concentrations of pre-existing antibody interfering with the immune response to the vaccine antigens. The negative correlation we found between birth weight and IgG responses to tetanus toxoid, FHA, and PT is interesting. That to FHA is explained by increased maternal IgG in the more mature, larger babies. However, that to tetanus toxoid and PT persists when controlled for pre-immunisation IgG concentrations. The actual effect on IgG responses was small, and the clinical significance questionable.

After adjustment for the influence of pre-existing maternal antibody, IgG responses to tetanus toxoid, FHA, PRN, and PT showed strong positive correlations with gestational age at birth, and the responses to tetanus toxoid and PRN showed strong correlations with age at the third immunisation. These findings suggest greater immunological maturity with increasing gestational age, although the improved response seen with age at the third immunisation may also be due to postnatal decreases in maternal IgG. These findings are of particular interest with reference to the pertussis antigens. Preterm infants are especially susceptible to serious pertussis infection, and timely immunisation is therefore desirable.

Early protection against pertussis forms the basis of the accelerated schedule used in the United Kingdom. Although we have shown increasing IgG responses to PRN when immunisation was delayed, this must be offset by the fact that the IgG GMC to PRN in the preterm infants was comparable to that seen in the term infants. There is therefore no benefit to be gained by delaying immunisation in these infants.

We have shown a reduction in tetanus toxoid IgG response with increasing doses of antenatal steroids given to the preterm infants’ mothers. We found a similar, though less significant, trend for anti-Hib IgG. This reduction in immune responsiveness of the infant for weeks after the steroids are given.

In summary, we have shown that the IgG response of preterm infants to pertussis toxin is reduced, whereas that to diphtheria toxoid, tetanus toxoid, FHA, and PRN antigens is similar to that of term infants when given in a combined acellular pertussis-Hib vaccine under an accelerated schedule.

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