Neonatal thrombocytopenia: causes and management

I Roberts, N A Murray

Neonatal thrombocytopenia is a common clinical problem. Thrombocytopenia presenting in the first 72 hours of life is usually secondary to placental insufficiency and caused by reduced platelet production; fortunately most episodes are mild or moderate and resolve spontaneously.

Thrombocytopenia presenting after 72 hours of age is usually secondary to sepsis or necrotising enterocolitis and is usually more severe and prolonged. Platelet transfusion remains the only treatment. There is a need for trials to define the safe lower limit for platelet count and which neonates will benefit from treatment.

Every neonatal paediatrician will be familiar with the clinical situation described in the box. Iatrogenic (phlebotomy induced) anaemia excepted, thrombocytopenia is the most common haematological abnormality encountered in the neonatal period. In fact, it is so common in neonatal intensive care unit (NICU) patients that it may be tempting to disregard it as a clinical problem and simply resort to platelet transfusion when the count gets “too low for comfort”. However, there are several reasons why this approach now needs to be re-evaluated.

Platelets for transfusion are associated with risks and are likely to be in short supply because of both increased demand and measures to reduce the risk of possible variant Creutzfeldt-Jakob disease and should therefore only be given when clearly clinically indicated.

The main causes of neonatal thrombocytopenia are now becoming clearer and many traditionally held beliefs have recently been shown to have little or no evidence to support them. Further defining the causes and mechanisms behind the common forms is the only way to develop more appropriate treatment, including novel approaches. We owe it to our vulnerable patients and juniors to stop saying “we simply don’t know the right answer here”.

This paper will first briefly review the definition and incidence of neonatal thrombocytopenia, then describe current ideas about the causes of the common and clinically important forms and its clinical impact, and finally present contemporary management plans for each.

Definition

A number of large studies have shown that the fetal platelet count is above $150 \times 10^9/l$ by the second trimester of pregnancy, and then remains fairly constant until term. Therefore the normal platelet count of all healthy newborn infants, regardless of gestational age, should be $150 \times 10^9/l$ and above, and counts below this represent thrombocytopenia, just as in older children and adults.

Incidence

At birth

Thrombocytopenia is present in 1–5% of newborns at birth, and severe thrombocytopenia (platelets < $50 \times 10^9/l$) occurs in 0.1–0.5%.

In NICU patients

Thrombocytopenia develops in 22–35% of all babies admitted to NICUs and in up to 50% of those admitted to NICUs who require intensive care. A considerable proportion (20%) of these episodes of thrombocytopenia are severe. This means that 8% of preterm and 6% of all neonates admitted to an NICU have severe thrombocytopenia and are at increased risk of haemorrhage, presenting a common management problem.

Causes and mechanisms

It is well recognised that many fetomaternal and neonatal conditions are associated with thrombocytopenia. Previous detailed studies have attempted to define the mechanisms by which these conditions cause thrombocytopenia, but, until recently, the mechanism underlying many neonatal thrombocytopenias remained unknown. As a result, classifications based on mechanism have proved of little practical help to neonatal paediatricians because of overemphasis of rare conditions of

**Abbreviations:** NICU, neonatal intensive care unit; NEC, necrotising enterocolitis; NAITP, neonatal alloimmune thrombocytopenia; HPA, human platelet antigen; ICH, intracranial haemorrhage; IVIG, intravenous immunoglobulin; Tpo, thrombopoietin; IL, interleukin; rh, recombinant human.

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known mechanism—for example, thrombocytopenia with absent radii (TAR syndrome; see table 1 for “practical” classification). They have also tended to perpetuate widely held beliefs that common neonatal conditions—for example, sepsis—cause thrombocytopenia because of platelet consumption due to disseminated intravascular coagulation, despite the fact that there is very little evidence to support this. 14 15 Although understanding the mechanisms underlying neonatal thrombocytopenia may seem to be an academic exercise, we believe it is only by clearly defining these mechanisms that more rational and innovative treatments can be developed.

**Impaired platelet production**

Recent work by ourselves and others shows that the major mechanism underlying neonatal thrombocytopenia is impaired platelet production. In 75% of all cases, the low platelet count is either present at birth or develops by 72 hours of life. 14 15 Only a minority of these patients have immunological disorders or coagulopathy causing thrombocytopenia (see below). Most of the remaining patients are preterm neonates born after pregnancies complicated by placental insufficiency and/or fetal hypoxia—for example, maternal pre-eclampsia and fetal intrauterine growth restriction. Neonates with this early onset thrombocytopenia (table 1) have impaired megakaryopoiesis and platelet production; megakaryocytes and their precursor and progenitor cells are considerably reduced at birth, 14 21 22 and levels of the megakaryocytopoietic cytokine thrombopoietin (Tpo) are therefore elevated. 23

**Consumption and sequestration**

Increased platelet consumption and/or sequestration are the major mechanisms in about 25–35% of episodes of neonatal thrombocytopenia. Overall, 15–20% of neonatal thrombocytopenias present at birth result from placental passage of maternal platelet alloantibodies and autoantibodies, 12 and disseminated intravascular coagulation is responsible for a further 10–15% of cases, nearly always in babies who are very ill, particularly in association with perinatal asphyxia and infection. 24 25 26 Thrombosis or platelet activation/immobilisation at sites of inflammation—for example, in the gut during necrotising enterocolitis (NEC) or in haemangiomas—are further examples of neonatal thrombocytopenias principally caused by platelet consumption. In addition, there is evidence that limited splenic sequestration of platelets occurs in sick newborns. 24

**Combined mechanisms**

Many neonates develop thrombocytopenia as a result of multiple mechanisms. A preterm neonate from a mother with pre-eclampsia who develops early bacterial sepsis and a baby with intrauterine growth restriction who develops NEC may both become thrombocytopenic as a result of underlying impaired platelet production (after pre-eclampsia or intrauterine growth restriction) combined with platelet consumption (during sepsis or NEC). Indeed, it is likely that most neonates who develop thrombocytopenia do so because their adverse fetal environment causes impaired megakaryopoiesis at birth, with a predisposition for thrombocytopenia to worsen when the baby is exposed to concurrent neonatal platelet consumptive “stress”. In addition, during sepsis and NEC, the natural history of thrombocytopenia (rapid onset and progression followed by slow recovery over five to seven days) suggests that it probably results from a combination of mechanisms—that is, platelet consumption (rapid onset phase) followed by impaired platelet production (slow recovery phase).

### COMMON PRESENTATIONS OF THROMBOCYTOPENIA IN THE PERINATAL PERIOD

#### Fetal thrombocytopenia

Fetal thrombocytopenia is now identified more often, as a consequence of primary diagnostic investigations for inherited thrombocytopenia (such as Wiskott-Aldrich syndrome (table 2)) or alloimmune thrombocytopenia in fetuses with intracranial haemorrhage, 27 or during assessment of fetuses with ultrasound evidence—for example, hydrops—of congenital infections, haemolytic disease, or aneuploidy. 7

**Thrombocytopenia in an otherwise healthy term baby**

Although an otherwise healthy term baby presenting with haemorrhage, purpura, or other signs of severe thrombocytopenia is uncommon, it may represent a neonatal emergency in order to avoid the risk of severe haemorrhage. The usual cause of this situation is neonatal alloimmune or autoimmune thrombocytopenia, although rare congenital or inherited thrombocytopenias may present in the neonatal period with haemorrhage (table 2).

**Neonatal alloimmune thrombocytopenia (NAITP)**

NAITP, the platelet equivalent of haemolytic disease of the newborn, is caused by placental passage of maternal alloantibodies directed against fetal platelet antigens inherited from the father but absent on maternal platelets. The most commonly detected antibodies are those directed against human platelet antigen (HPA)-1a and HPA-5b, which are responsible for 80% and 10–15% of cases respectively. 26 28

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Table 1: Classification of fetal and neonatal thrombocytopenias

<table>
<thead>
<tr>
<th>Condition</th>
<th>Fetal</th>
<th>Early onset neonatal (&lt;72 hours)</th>
<th>Late onset neonatal (&gt;72 hours)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alloimmune</td>
<td>Congenital infection (e.g. CMV, toxoplasma, rubella, HIV) Aneuploidy (e.g. trisomies 18, 13, 21, or triploidy)</td>
<td>Placental insufficiency (e.g. PET, IUGR, diabetes) Perinatal asphyxia Perinatal infection (e.g. E coli, GBS, Haemophilus influenzae)</td>
<td>DIC Alloimmune Congenital infection (e.g. CMV, toxoplasma, rubella, HIV) Thrombosis (e.g. aortic, renal vein) Bone marrow replacement (e.g. congenital leukaemia) Kasabach-Merritt syndrome Metabolic disease (e.g. propionic and methylmalonic acidemia) Congenital/inherited (e.g. TAR, CAMT)</td>
</tr>
<tr>
<td>Autoimmune</td>
<td>Severe Rh haemolytic disease</td>
<td>Autoimmune (e.g. ITP, SLE)</td>
<td>Autoimmune (e.g. ITP, SLE)</td>
</tr>
<tr>
<td>Combined mechanisms</td>
<td></td>
<td>Kasabach-Merritt syndrome Metabolic disease (e.g. propionic and methylmalonic acidemia)</td>
<td>Kasabach-Merritt syndrome Metabolic disease (e.g. propionic and methylmalonic acidemia)</td>
</tr>
</tbody>
</table>

The most common conditions are highlighted: CMV, Cytomegalovirus; ITP, idiopathic thrombocytopenic purpura; SLE, systemic lupus erythematosus; PET, pre-eclampsia; IUGR, intrauterine growth restriction; E coli, Escherichia coli; GBS, group B streptococcus; DIC, disseminated intravascular coagulation; TAR, thrombocytopenia with absent radii; CAMT, congenital amegakaryocytic thrombocytopenia; NEC, necrotising enterocolitis.
Thrombocytopenic. Maternal IVIG stabilises the fetal platelet together with maternal IVIG treatment if the fetus is tive, less invasive approach is fetal platelet count monitoring delivery. baby; in up to 10% of cases antibodies to the platelets are 40–50% of cases. The diagnosis depends on demonstrating of thrombocytopenic fetuses with HPA compatible platelets mine the fetal platelet count followed by weekly transfusions mend fetal blood sampling from 24 weeks gestation to deter- • fetal blood sampling with maternal intravenous immu- • fetal blood sampling with intrauterine platelet transfusion, as this may achieve a transient rise in platelet count until IVIG itself becomes effective. The aim should be to maintain the platelet count at > 30 × 10^9/l for the first week of life or for as long as there is evidence of continuing haemorrhage. In suspected cases of NAITP, babies with severe thrombocytopenia should be trans- fused with donor platelets that are both HPA-1a and HPA-5b negative until the diagnosis is established.

Neonatal autoimmune thrombocytopenia

Transplacental passage of maternal platelet autoantibodies in mothers with idiopathic thrombocytopenic purpura or sys- temic lupus erythematosus may cause neonatal autoimmune thrombocytopenia in about 10% of cases. Maternal disease severity and/or platelet count during pregnancy can be used to predict the neonatal platelet count in most cases, but the clinical manifestations are less severe than in NAITP, and the risk of ICH is 1% or less. However, all neonates of mothers with autoimmune disease should have a cord blood platelet count determined at birth and again at 24 hours. In thrombocytopenic neonates, the platelet count should be repeated daily for the next three to four days, as platelet counts are commonly at their lowest during this time before rising spontaneously by day 7 in most cases. As most babies found to have an ICH secondary to maternal autoimmune disorders have had platelet counts of < 30 × 10^9/l, it is common practice to treat any neonates with severe thrombo- cytopenia (platelets < 30 × 10^9/l) with IVIG regardless of whether or not there is evidence of bleeding. There is no clear evidence that this approach is of benefit or that the threshold level of 30 × 10^9/l is appropriate; however, IVIG at a dose of 1 g/kg/day on two consecutive days or 0.5 g/kg/day for four days is usually effective in raising the platelet count. It is not uncommon for a second course of IVIG to be required two to three weeks after birth if the platelet count again falls below (20–30) × 10^9/l because of persistence of the maternal platelet antibodies.

Thrombocytopenia in NICU patients

Early onset thrombocytopenia

Most cases of thrombocytopenia in babies admitted to NICUs are discovered “incidentally”. The majority are preterm neonates and most (75–90%) will develop early onset thrombocytopenia because of placental insufficiency/fetal hypoxia. This thrombocytopenia has a remarkably consistent pattern (table 3), with a platelet nadir around day 4 and resolution by 7–10 days of life. Precipitous falls in platelet count are uncommon, and the platelet nadir rarely falls below 50 × 10^9/l. Thus the most common pattern of thrombocytopenia in the newborn is predictable, evolves slowly, and, in the majority, is a “benign” phenomenon. By contrast, severe early thrombocytopenia (platelets < 50 × 10^9/l) at < 72 hours of life is much less common and occurs in term as well as preterm infants. The usual causes are severe perinatal infections—for

### Table 2: Congenital and inherited thrombocytopenias that may present in the fetus or neonate

<table>
<thead>
<tr>
<th>Thrombocytopenia (with abnormal platelet function)</th>
<th>Bernard-Soulier syndrome</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wiskott-Aldrich syndrome</td>
<td>X-linked thrombocytopenia</td>
</tr>
<tr>
<td>Chediak-Higashi syndrome</td>
<td>Quebec platelet disorder</td>
</tr>
<tr>
<td>Some giant platelet syndromes (e.g. Montreal syndrome)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Thrombocytopenia (without marked thrombocytopenia)</th>
<th>Fanconi’s anaemia</th>
</tr>
</thead>
<tbody>
<tr>
<td>TAR syndrome</td>
<td>Amegakaryocytic thrombocytopenia</td>
</tr>
<tr>
<td>Giant platelet syndromes (e.g. May-Hegglin anomaly, Sebastian syndrome, Fechtner syndrome)</td>
<td>Autosomal dominant thrombocytopenia</td>
</tr>
</tbody>
</table>

See Roberts and Murray for a full review of these conditions. TAR, Thrombocytopenia with absent radii.
example, group B streptococcus, *Escherichia coli*, and *Listeria monocytogenes*—and after perinatal asphyxia, particularly in term neonates. In both situations, disseminated intravascular coagulation is often a significant contributor.

**Late onset thrombocytopenia**

Our recent work clearly shows that thrombocytopenia developing, or significantly worsening, at >72 hours is almost exclusively caused by late onset sepsis or NEC. This late onset thrombocytopenia has a distinct natural history (table 3).

Usually thrombocytopenia develops with the early signs of sepsis or NEC and often progresses rapidly, with a platelet nadir reached within 24–48 hours. Thrombocytopenia is often severe, with affected neonates often requiring platelet transfusions until sepsis or NEC are controlled, followed by a slow recovery in platelet numbers over the following four to five days. Affected neonates are often profoundly sick, require intensive care, and in our unit have a 10–15% mortality.

**MANAGEMENT**

**Platelet transfusion**

As yet, there are no widely accepted guidelines for platelet transfusion in newborns with non-immunologically mediated thrombocytopenia. However, as the evidence that platelet transfusion improves neonatal outcome is lacking (see below), recent guidelines are more conservative than previous recommendations (table 4). As a general rule platelets should be given to thrombocytopenic neonates when the degree of thrombocytopenia alone or in combination with other complications results in an unacceptable risk of haemorrhage, and they should be given in tandem with aggressive therapy for the underlying conditions precipitating the thrombocytopenia. The risk of haemorrhage is difficult to assess because it is closely related to the gestational and postnatal age of the neonate as well as the cause of the thrombocytopenia and the severity of concurrent conditions. In practice, the decision is governed by two questions. When is it appropriate to administer platelets to non-bleeding patients (prophylactic transfusions)? When is it appropriate to administer platelets to actively bleeding patients? Our current guidelines (table 4) reflect our current practice, but, as with published consensus guidelines, are not based on good quality trial evidence.

**CLINICAL IMPACT OF NEONATAL THROMBOCYTOPENIA**

It is very difficult to critically assess the clinical impact of neonatal thrombocytopenia. Previous studies suggest that it is a risk factor for haemorrhage (particularly intraventricular haemorrhage), and adverse neurodevelopmental outcome. However, it is unclear whether thrombocytopenia itself directly contributes to adverse outcome or is simply a marker of the severity of precipitating complications—for example, perinatal asphyxia—which themselves carry a poor prognosis. One way to determine the clinical impact is to prevent thrombocytopenia by the use of platelet transfusion in a high risk population. In the only randomised trial designed to address this, Andrew et al found no benefit (reduction in haemorrhage) in preterm neonates when moderate thrombocytopenia (platelets (50–150) × 10^9/l) was prevented by platelet transfusion. Appropriate lower limit platelet counts for all groups of preterm neonates were not defined, as all neonates were deemed eligible for platelet transfusion if their platelet count fell below 50 × 10^9/l. However, this study clearly defines a platelet count of >50 × 10^9/l as a “safe” level, even in sick neonates (table 4).

**FUTURE DEVELOPMENTS**

Progress in the assessment and management of neonates who develop significant neonatal thrombocytopenia is likely to

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**Table 3** Comparison of natural history of early and late onset thrombocytopenia in neonates

<table>
<thead>
<tr>
<th></th>
<th>Early</th>
<th>Late</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mild to moderate</td>
<td>Platelet nadir rarely &lt;50 × 10^9/l</td>
<td>Severe (platelet nadir frequently &lt;50 × 10^9/l)</td>
</tr>
<tr>
<td>Evolves slowly over several days</td>
<td>Rapid onset and progression over 24–48 hours</td>
<td></td>
</tr>
<tr>
<td>Associated with</td>
<td>Complicated pregnancies (PET, IUGR, maternal diabetes)</td>
<td>Sepsis and NEC</td>
</tr>
<tr>
<td>Rarely requires specific treatment</td>
<td>Multiple platelet transfusions often required</td>
<td></td>
</tr>
<tr>
<td>Mechanism</td>
<td>Impaired platelet production</td>
<td>Combined platelet consumption and impaired production</td>
</tr>
</tbody>
</table>

**Table 4** Guidelines for platelet transfusion in the newborn

<table>
<thead>
<tr>
<th>Platelet count (&lt;10^9/l)</th>
<th>Non-bleeding neonate</th>
<th>Bleeding neonate</th>
<th>NAITP (proven or suspected)</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;30</td>
<td>Consider transfusion in all patients</td>
<td>Transfuse</td>
<td>Transfuse (with HPA compatible platelets)</td>
</tr>
<tr>
<td>30–49</td>
<td>Do not transfuse if clinically stable</td>
<td>Transfuse</td>
<td>Transfuse (with HPA compatible platelets if any bleeding)</td>
</tr>
<tr>
<td></td>
<td>Consider transfusion if:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• &lt;1000 g and &lt;1 week of age</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• clinically unstable (e.g. fluctuating blood pressure or perfusion)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• previous major bleeding (e.g. grade 3–4 IVH or pulmonary haemorrhage)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• recent minor bleeding (e.g. petechiae, puncture site oozing or blood stained ET secretions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• concurrent coagulopathy</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• requires surgery or exchange transfusion</td>
<td></td>
<td></td>
</tr>
<tr>
<td>50–99</td>
<td>Do not transfuse</td>
<td>Transfuse</td>
<td>Transfuse (with HPA compatible platelets if major bleeding present)</td>
</tr>
<tr>
<td>&gt;99</td>
<td>Do not transfuse</td>
<td>Do not transfuse</td>
<td>Do not transfuse</td>
</tr>
</tbody>
</table>

NAITP, Neonatal alloimmune thrombocytopenia; HPA, human platelet antigen; IVH, intraventricular haemorrhage; ET, endotracheal.
require at least three different approaches: (a) improved diagnosis and treatment for the conditions precipitating severe thrombocytopenia (mainly sepsis and NEC); (b) development of evidence based protocols for platelet transfusion in non-immunologically mediated neonatal thrombocytopenia; (c) development of alternative treatments to stimulate platelet production (haemopoietic growth factor therapy).

**Improved diagnosis and treatment for conditions that cause severe thrombocytopenia**

Several approaches to prevention and treatment of the principal conditions that precipitate severe thrombocytopenia are currently under investigation in preterm infants, including granulocyte-macrophage colony stimulating factor, IVIG, and pentoxifylline to reduce the incidence of neonatal sepsis, and epidermal growth factor for the treatment of NEC.

**Evidence based protocols for neonatal platelet transfusion**

Although difficult to achieve without putting neonates at risk of haemorrhage, there remains a fundamental need for randomised controlled trials to define the safe lower limit for platelet counts in sick newborns and to define which neonates will benefit from specific treatment of their thrombocytopenia. Platelet transfusion practice itself also deserves attention, as questions such as optimal platelet dose, interval between transfusions, and platelet monitoring after transfusion remain unanswered. Also, as with all other interventions in neonatal medicine, long term follow up of neonates who have received platelet transfusions is essential both to determine if they actually improve outcome but, equally importantly, to exclude the possibility of any unexpected long term adverse consequences.

**Haemopoietic growth factors**

As platelet underproduction causes or contributes to most episodes of neonatal thrombocytopenia, recombinant haemopoietic growth factors, such as Tpo and interleukin 11 (IL-11), may ameliorate thrombocytopenia and provide an alternative to thrombopoietin.

**Thrombopoietin**

This is the major regulator of platelet production in humans, including neonates. Recombinant human (rh) Tpo stimulates megakaryocyte progenitor and progenitor cells from term and preterm neonates. However, recent evidence also shows that, although neonates of all gestational ages produce endogenous Tpo, they appear to produce suboptimal amounts of Tpo in response to thrombocytopenia, suggesting that rhTpo may have a role in the treatment of neonatal thrombocytopenias. However, the clinical application of rhTpo seems likely to be limited by the development of neutralising antibodies and by the delay of six to seven days after administration before the platelet count rises, a lag time during which many neonatal thrombocytopenias will resolve.

**IL-11**

This stimulates platelet production from megakaryocytes and is now commercially available for this purpose. In animal models, IL-11 also conveys survival benefit during sepsis and or sepsis/NEC, this remains an exciting prospect for the future.

**CONCLUSION**

Neonatal thrombocytopenia is a common clinical problem. Fortunately most episodes are mild or moderate and resolve spontaneously without apparent clinical sequelae. For more severe episodes, the recent demonstration of impaired megakaryocytopoiesis and platelet production as a major contributor to neonatal thrombocytopenia is an important advance both for our understanding of the underlying disease processes and the potential for innovative treatment. The use of haemopoietic growth factors to stimulate neonatal platelet production, and particularly the potential of IL-11, is an exciting prospect. At a more basic level, however, there remains a need for trials to define the safe lower limit for platelet counts in sick newborns and to define which neonates will benefit from treatment of their thrombocytopenia. Finally, ensuring accurate diagnosis and determining effective fetal and neonatal treatment for NAITP, the thrombocytopenia currently identifiable as directly causing most mortality and morbidity, should remain a goal for all fetal medicine specialists, haematologists, and neonatal paediatricians.

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