Low superior vena cava flow and intraventricular haemorrhage in preterm infants

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Abstract

Objectives—To document the incidence, timing, degree, and associations of systemic hypoperfusion in the preterm infant and to explore the temporal relation between low systemic blood flow and the development of intraventricular haemorrhage (IVH).

Study design—126 babies born before 30 weeks' gestation (mean 27 weeks, mean body weight 991 g) were studied with Doppler echocardiography and cerebral ultrasound at 5, 12, 24, and 48 hours of age. Superior vena cava (SVC) flow was assessed by Doppler echocardiography as the primary measure of systemic blood flow returning from the upper body and brain. Other measures included colour Doppler diameters of ductal and atrial shunts, as well as Doppler assessment of shunt direction and velocity, and right and left ventricular outputs. Upper body vascular resistance was calculated from mean blood pressure and SVC flow.

Results—SVC flow below the range recorded in well preterm babies was common in the first 24 hours (48 (38%) babies), becoming significantly less common by 48 hours (6 (5%) babies). These low flows were significantly associated with lower gestation, higher upper body vascular resistance, larger diameter ductal shunts, and higher mean airway pressure. Babies whose mothers had received antihypertensives had significantly higher SVC flow during the first 24 hours. Early IVH was already present in 9 babies at 5 hours of age. Normal SVC flows were seen in these babies except in 3 with IVH, which later extended, who all had SVC flow below the normal range at 5 and/or 12 hours. Eight of these 9 babies were delivered vaginally. Late IVH developed in 18 babies. 13 of 14 babies with grade 2 to 4 IVH had SVC flow below the normal range before development of an IVH. Two of 4 babies with grade 1 IVH also had SVC flow below the normal range before developing IVH, and the other 2 had SVC flow in the low normal range. In all, IVH was first seen after the SVC flow had improved, and the grade of IVH related significantly to the severity and duration of low SVC flow. The 9 babies who had SVC flow below the normal range and did not develop IVH or periventricular leucomalacia were considerably more mature (median gestation 28 vs 25 weeks).

Conclusions—Low SVC flow may result from an immature myocardium struggling to adapt to increased extrauterine vascular resistances. Critically low flow occurs when this is compounded by high mean airway pressure and large ductal shunts out of the systemic circulation. Late IVH is strongly associated with these low flow states and occurs as perfusion improves.

Keywords: very preterm infants; blood flow; cerebral haemorrhage; superior vena cava

In the beagle puppy model, intraventricular haemorrhage (IVH) is produced by inducing a severe hypoperfusion-reperfusion cycle. Our observations of early cardiovascular haemodynamics suggested that IVH in human preterm infants may relate to a similar cycle. Low ventricular outputs are common on day 1 in very preterm babies, subsequently improve, and are unusual after day 2. This hypoperfusion-reperfusion cycle happens in the time frame in which most IVHs occur. Babies who developed severe IVH had significantly lower early right ventricular outputs, which in turn were associated with left to right shunts through larger diameter patent ductus arteriosus and higher mean airway pressures. We hypothesised that IVH relates to a systemic and cerebral hypoperfusion-reperfusion cycle resulting from slow postnatal adaptation of the immature cardiovascular system. The aims of this study were twofold: firstly, to document the incidence, timing, degree, and possible causes of systemic hypoperfusion in the preterm infant, and, secondly, to explore any temporal relation between low systemic blood flow and the development of IVH. Because blood pressure correlates only weakly with systemic blood flow and because intracardiac shunts in the adapting heart confound the interpretation of ventricular outputs, measurement of superior vena cava (SVC) flow is used in this study as a novel measure of upper body systemic blood flow. About 80% of upper body blood flow goes to the brain, so this may provide a useful marker of cerebral perfusion.

Methods

STUDY POPULATION
The entry criteria for this study were preterm birth before 30 weeks’ gestation and informed parental consent. The 126 babies enrolled during the 20 month time period of the study represented 85% of eligible babies. Parental
consent was refused for five babies, and 19 eligible babies were not studied, as neither investigator was available when they were born. The 126 babies studied had a mean gestation of 27 weeks (range 23–29) and a mean birth weight of 991 g (range 420–1630). Just over half (52%) were boys, 87% had received antenatal steroids, and 91% were inborn. Some 76% were ventilated for more than one day, and 79% of these ventilated babies were treated with early rescue surfactant (Survanta; Abbott Australasia Ltd, Sydney, Australia). High frequency oscillatory ventilation was not used. The respiratory diagnosis was hyaline membrane disease in 60%, pulmonary immaturity in 15%, pneumonia in 3%, pulmonary hypoplasia in 0.8% (one baby), and 20% had normal lungs. Twenty three (18%) of the babies died in the neonatal period. Seven who died from respiratory failure on days 1 and 2 are excluded from any analysis in which IVH is the major outcome. Of the other babies who died, 10 had intensive care withdrawn as a result of a severe IVH, five died from late sepsis, and one died late from respiratory failure. The Royal Prince Alfred Hospital ethics committee approved the study, and babies were studied with informed written consent from their parents.

ULTRASOUND STUDIES
All infants had serial ultrasound examinations, which included an echocardiogram and a cerebral ultrasound, as close as possible to 5, 12, 24, and 48 hours after birth. The first ultrasound examination was performed after stabilisation was complete and the first dose of surfactant, if indicated, had been given.

Echocardiographic data collection
This was performed with an Acuson 128/XP10 ultrasound scanner with a 5 MHz or a 7 MHz transducer incorporating colour flow, pulsed wave, and continuous wave Doppler. The scan was recorded on to videotape and later measured from the videotape. Structural normality of the heart was established on the initial scan. At each study, the following measures were taken using protocols that have been described previously in detail5–6:

(a) Doppler volumetric measurement of right and left ventricular output;
(b) colour Doppler diameter of ductus arteriosus shunt (a semiquantitative measure of shunt size5) and pulsed or continuous wave Doppler assessment of shunt direction and velocity;
(c) colour Doppler diameter of interatrial shunt (a semiquantitative measure of shunt size5) and pulsed or continuous wave Doppler assessment of shunt direction and velocity;
(d) SVC flow, measured using the method described in the previous paper.7

Cerebral ultrasound
This was performed with a 7 MHz transducer, and any IVH was noted and classified according to Papile grading. Further routine head ultrasounds were performed between day 4 and 7 and on day 28.

HAEMODYNAMIC MANAGEMENT
Attending clinicians were not informed of the findings unless there was a specific clinical concern, such as a clinical suspicion of a patent ductus arteriosus or hypotension unresponsive to treatment. The blood pressure support protocol aimed to keep the mean blood pressure above the infant’s gestation in weeks5 using volume expansion (10 ml/kg) followed by dobutamine (10–20 µg/kg/min) depending on response. If the blood pressure remained low, dopamine at doses up to 10 µg/kg/min was used. In all, 36% of babies received inotropes during the first 48 postnatal hours. The aim of this study was to examine overall changes in flow, and the response to inotropic support was not specifically investigated. Indomethacin was used to treat symptomatic patent ductus arteriosus confirmed to be haemodynamically significant on echocardiography. Thirty five of the cohort received indomethacin, with the first dose given at a median time of 48 hours (range 12–365 hours).

Clinical and physiological data
Oxygen requirements, ventilatory settings, and intra-arterial blood pressures were recorded at the time of each scan. All arterial blood gases with ventilatory settings at the time were recorded. In 106 babies (84%), physiological data including blood pressure, heart rate, oxygen saturation, and transcutaneous oxygen and carbon dioxide were downloaded every five minutes from the Hewlett-Packard Merlin monitors to a central database (Docvue; Hewlett-Packard, Andover, Massachusetts, USA) for the first 60 hours of admission. This was not possible for technical reasons in the other 20 babies in whom hourly recordings of these data were taken from the nursing charts.

Upper body vascular resistance (UBVR)
This was calculated as mean arterial blood pressure at the time of the scan divided by SVC flow (mm Hg per kg/min).

STATISTICAL ANALYSIS
Data were analysed with a PC based statistics package (SPSS for Windows) using Χ² test, Kruskall-Wallis analysis of variance, Mann-Whitney U test, and multilinear regression. p < 0.05 was accepted as significant except for multiple univariate comparisons, for which a Bonferroni correction to < 0.01 was applied.

Results
NORMAL SVC FLOW
As previously described,1 a normal range for SVC flow was derived from 25 of the 126 preterm babies who had radiologically normal lungs with minimal requirements for ventilatory support. The normal range was defined as being above the lowest recorded SVC flow at each of the four scans—that is, above 30, 34, 42, and 46 ml/kg/min respectively at 5, 12, 24, and 48 hours of age.
LOW SVC FLOW

In the whole cohort of 126 babies, median SVC flow rose from 57 ml/kg/min (range 10–143) at 5 hours, to 59 ml/kg/min (16–133) at 12 hours, to 69 ml/kg/min (10–150) at 24 hours, and to 82 ml/kg/min (17–158) at 48 hours. The increases between the 12 and 24 hour measurements were significant (p < 0.0001). SVC flow below the normal range was measured in 15% of babies at 5 hours, 18% at 12 hours, and 14% at 24 hours; 38% of all babies had at least one measure below the normal range during the first 24 hours. Some 80% of babies had their lowest measure of SVC flow at 5 or 12 hours. Low SVC flow was significantly less common by 48 hours (5%, p < 0.02).

ANTENATAL AND DEMOGRAPHIC ASSOCIATIONS WITH LOW SVC FLOW

Antenatal and demographic factors (including gestation, birthweight percentile, antenatal steroids, maternal antihypertensive treatment, and Apgar at 5 minutes) were analysed against the mean SVC flow calculated from the three measurements in the first 24 hours. There was a significant correlation between 24 hour averaged SVC flow and gestation (r = 0.45, p < 0.0001), but no relation with the Apgar score, birthweight percentile, or use of antenatal steroids. Median 24 hour averaged SVC flow was significantly higher in the 31 babies whose mothers had received antihypertensives (71 (range 22–104) vs 59 (14–131) ml/kg/min; p = 0.004).

CARDIORESPIRATORY ASSOCIATIONS WITH LOW SVC FLOW

For each of the four scans, the following were compared between babies with low and normal flow: echocardiographic variables (including colour Doppler diameter of the ductal and atrial shunt), respiratory variables (including oxygen requirement and mean airway pressure at the time of the scan, and mean Pco₂ in the six hours preceding the scan), and haemodynamic measures (including heart rate, mean blood pressure, and calculated UBVR at the time of the scan).

At the 5 hour scan, the 19 babies with low SVC flow had significantly larger diameter patent ductus arteriosus, higher mean airway pressure, and higher calculated UBVR (table 1). At the 12 hour scan, the 22 babies with low SVC flow had significantly higher mean airway pressure and higher calculated UBVR. At the 24 hour scan, the 17 babies with low SVC flow had significantly larger diameter atrial shunts, lower Pco₂ in the preceding six hours, more were receiving dobutamine, and they had higher UBVR. At the 48 hour scan, the six babies with low SVC flow had significantly higher UBVR. The associations at the 5 and 12 hour scan remained significant after controlling for gestation and maternal antihypertensives with multivariate analysis, but at 24 and 48 hours, only higher UBVR remained significantly associated with low SVC flow.

Not all babies with large diameter ducts had low SVC flow (table 1). The above analysis was repeated for the 5 hour scan on the subgroup of babies with ductal diameters above the cohort mean.
median (1.6 mm). The babies with low SVC flow had significantly higher UBVR (1.2 \pm 0.6 \text{ mm Hg per ml/kg/min}; p < 0.0001), but there were no differences in the other variables.

The limitations of blood pressure as a marker of systemic blood flow are emphasised by the weak relation at all scans between SVC flow and mean blood pressure ($r < 0.25$) (fig 1). This relation was just significant for the first three scans ($p < 0.04$) but not at 48 hours ($p = 0.45$). This weak relation signified a very close inverse relation between SVC flow and UBVR at all scans ($r > 0.94$, $p < 0.0001$; fig 2), with exponentially increasing UBVR at lower SVC flows. At no time was the heart rate significantly associated with low SVC flow.

**SVC FLOW AND IVH**

**Early IVH**

In nine babies, an IVH was already present on the 5 hour scan (early IVH group). Of this group, one baby had a grade 4 IVH, one had a grade 2 which extended to a grade 3, and seven had a grade 1 IVH, two of which extended to grade 2. In the six babies in whom the early IVH did not extend, all SVC flows were in the normal range at the 5 hour scan and remained normal thereafter (fig 3). But in all three babies in which the IVH extended after the 5 hour scan, SVC flow was below the normal range at the 5 or 12 hour scan (fig 3).

There was a significantly higher rate of vaginal delivery for these nine babies than for the rest of the cohort ($89\% \pm 34\%$; $p = 0.002$). However, their early clinical course was no different from the rest of the cohort, as measured by cord arterial pH, 5 minute Apgar, and mean oxygenation index over the first six postnatal hours.

**Late IVH**

Eighteen babies developed IVH after the initial ultrasound (late IVH group); 11% of these were first seen at the 12 hour scan, a further 22% at 24 hours, and the remaining 67% at 48 hours.

Fourteen were of grade 2 or more (three of grade 2, four of grade 3, seven of grade 4). In 13 of these 14 babies, SVC flows below the normal range (mean 23 ml/kg/min, range 10–29) were recorded before development of IVH. The one remaining baby, with a small unilateral grade 4 IVH, had SVC flows in the normal range (see fig 5). That baby had been born with a cord arterial base excess of −16.

Figures 4 and 5 show the individual changes in SVC flow in relation to when the IVH was first seen. The black stars represent the scan in which IVH was first seen. The shaded area represents the normal range found in uncomplicated preterm babies. The dotted lines represent one baby who suddenly collapsed and died with a massive IVH for whom we have had to speculate what happened after the last scan.
Early IVH and babies dying before IVH could be assessed as an outcome are excluded from this analysis.

Values are medians and range.

<table>
<thead>
<tr>
<th>No late IVH (n = 92)</th>
<th>Late grade 1 or 2 IVH (n = 11)</th>
<th>Late grade 3 or 4 IVH (n = 28)</th>
<th>p Value</th>
</tr>
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<tbody>
<tr>
<td>Lowest SVC flow (ml/kg/min)</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Number of SVC flow recordings</td>
<td>&lt; 30 ml/kg/min</td>
<td></td>
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<tr>
<td>44 (17–96)</td>
<td>29 (18–39)</td>
<td>26 (10–55)</td>
<td>0.0001</td>
</tr>
<tr>
<td>0 (0–3)</td>
<td>1 (0–1)</td>
<td>2 (0–3)</td>
<td>0.0001</td>
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With increasing grade of IVH, the median minimum SVC flow was significantly lower (p = 0.0001) and the number of measurements below the normal range was significantly higher (p = 0.0001) (table 2). Six of the 11 babies who developed grade 3 or 4 IVH showed serious acute respiratory deterioration (five had a pulmonary haemorrhage and one a pneumothorax) in the time period in which the IVH occurred.

LOW SVC FLOW IN OTHER BABIES

SVC flow below the normal range occurred in 11 babies who did not subsequently develop IVH. Two of these babies developed periventricular leukomalacia (PVL). To explore differences that may relate to the development of cerebral ultrasound changes, the 26 babies whose minimum SVC flow was < 30 ml/kg/min were divided into three groups: no IVH (n = 9), PVL (n = 2), and late IVH (n = 15). The babies who did not develop head changes on ultrasound examination were significantly more mature than those who did, respectively 28 (24–29) weeks, 26.5 (26–27) weeks, and 25 (24–28) weeks (p = 0.015). There were no significant differences in other variables tested. An SVC flow below 30 ml/kg/min predicted late cerebral ultrasound changes (late IVH, extending early IVH, or PVL) with 80% sensitivity and 90% specificity.

PERIVENTRICULAR LEUKOMALACIA

Four of the 126 babies developed multicystic PVL. As described above, very low SVC flow was recorded in two of these (minimum SVC flow 19.1 and 19.4 ml/kg/min); however, the other two had normal SVC flow throughout the study period (minimum SVC flow 44 and 50 ml/kg/min).

Discussion

These data have documented the incidence and extent of low systemic blood flow in the very preterm infant during the first 24 hours after birth, emphasising that these low flow states are poorly predicted by measurement of systemic blood pressure. Furthermore, we have shown a strong association between these observed low flow states and subsequent IVH as the flow improves.

This vulnerability to low flow decreases with postnatal age, so that by 48 hours it is rare. Why does this happen? As we have observed previously in relation to ventricular outputs, the diameter of the ductus arteriosus and the mean airway pressure were negatively associated with SVC flow, with the duct diameter being most significantly associated with low SVC flow at the 5 hour scan. At this early time, 50% of the ducts had constricted to insignificant size (< 1.6 mm), but the other 50% were haemodynamically significant. Even at this early stage, the predominant direction of ductal shunting is left to right, so larger ducts drain significant volumes of blood away from the systemic circulation. The negative association with mean airway pressure probably reflects the well documented negative influence of positive pressure ventilation on cardiac output. The individual impact both of large ducts and high mean airway pressure on SVC flow varied widely, with many babies maintaining their SVC flow in the presence of these negative influences. These babies were defined by lower systemic vascular resistance and increasing postnatal age. By 24 hours, neither ductal diameter nor mean airway pressure was significantly associated with low SVC flow, emphasising the early haemodynamic vulnerability.

This inconsistent effect of both large ducts and high mean airway pressure makes it likely that these are compounding factors rather than the fundamental cause of low systemic blood flow. Shorter gestation was significantly associated with low flow, and, although we did not measure myocardial function because of methodological problems in preterm babies, we speculate that this association relates to the limited contractile reserve of the immature myocardium. Specifically, the preterm myocardium has been shown to have a limited ability to respond to an increased afterload. During normal postnatal adaptation, there are large changes in ventricular workload in response to the change from low intravascular resistance to increased extraterine resistance, and these data have shown a very close inverse correlation between resistance and SVC flow. This could be a compensatory response, but such compensation is catecholamine driven and should also result in a raised heart rate, which we did not observe. Alternatively the combination of an immature myocardium and high vascular resistance may be the cause of these low flow states. The observation that maternal antihypertensive treatment was protective against low SVC flow may be due to their vasodilating properties offloading the adapting preterm heart.

Some 80% of upper body blood flow goes to the brain, so when SVC flow is very low, it is likely that the cerebral blood flow is also low.

### Key messages

- Critically low superior vena cava flow occurs in a significant minority of very preterm infants
- These low flow states are poorly predicted by blood pressure
- This low flow is commonest in the first 12 to 24 hours, improving spontaneously after this time
- Late IVH is strongly associated with these low flow states and occurs as perfusion improves
Data on humans have pointed to early low cerebral flow being a risk factor for IVH, and, in the animal model, it is a prerequisite for the occurrence of haemorrhage. The germinal matrix is uniquely vulnerable to ischaemic injury because of the watershed nature of the blood supply. Lou recognised that an antecedent ischaemic insult to the germinal matrix capillaries, possibly occurring antenatally, was probably important in the pathogenesis of IVH. In our study, almost all the babies with late IVH had a postnatal period of low blood flow to the upper body preceding the IVH, with SVC flows around 30% of the average found in healthy preterm babies. Furthermore the worse the hypoperfusion, the more severe the IVH once flow had improved. Hypoperfusion was not seen in most of the babies with an early IVH, but we suggest that a similar cycle may be occurring but in a different time frame. Because the IVH had already occurred, we recorded only the reperfusion part of the cycle, with the hypoperfusion having occurred previously, possibly during labour and delivery. All except one of these babies were delivered by the vaginal route, a previously identified risk factor for early IVH. Preterm babies may be less able than term babies to cope with the periods of hypoxia that occur during vaginal delivery.

The late IVHs were all first seen after SVC flow had improved. A pressure passive cerebral circulation resulting from a lack of cerebral autoregulation has been implicated in the pathogenesis of IVH. Recent data have suggested that this may be an acquired rather than a maturity related problem. Our data would be consistent with this, in that IVH did not always occur immediately on reperfusion and, in keeping with the observations of Funato et al., over half the babies who had late grade 3 or 4 IVH had a severe acute respiratory deterioration in the time period in which the IVH occurred. In fetal and neonatal lambs, an hypoxic insult quickly compromises autoregulation of the cerebral circulation. Impaired autoregulation in preterm babies may be a secondary problem that compounds the vulnerability of an ischaemically damaged germinal matrix endothelium to haemorrhagic rupture during reperfusion.

Individual response to an insult depends on vulnerability. The observation that babies with very low SVC flow who did not develop IVH or PVL were more mature mirrors the animal model. Logic would dictate that these low SVC flows must relate to the pathogenesis of PVL, but there were insufficient cases in this study to be able to draw any firm conclusions. Two babies with PVL had extremely low SVC flow (< 20 ml/kg/min) but the other two had normal postnatal SVC flow. This may relate to the mixed prenatal and postnatal origins of preterm ischaemic white matter injury. These observations suggest that prevention of IVH and ischaemic cerebral injury would be possible if a rational strategy to maintain systemic blood flow could be developed. These data emphasise the importance of minimising early mean airway pressure and suggest that medical closure of ducts failing to constrict in the first few postnatal hours would help to support the systemic circulation. The latter is probably part of the mechanism by which prophylactic indomethacin gives some protection against IVH. These data would also suggest that afterload reduction, antenatal and postnatal, should be explored as a means of circulatory support in the preterm newborn. In testing such interventions, measures of flow not pressure must be used as both the entry criterion and as the outcome variable. To prevent injury to any organ including the brain, it is the volume of blood that is flowing through that organ that must be improved not just the pressure at which that blood is delivered. However, for late IVH, we have shown a strong temporal association and a dose-response relation. These, together with biological plausibility from the animal model, increase the likelihood that this association is causal. We suggest that IVH is one consequence of a much wider problem of possibly prenatal, but certainly early postnatal, systemic hypoperfusion in the preterm infant. The injury sustained is dependent on the maturity of the baby, the degree and duration of the hypoperfusion, and clinical events that occur during the period that perfusion is improving.

12 Bondi JD, Schulman DS, Soufer R, et al. The effect of incremental positive end-expiratory pressure on right ven-


