Single versus continuous sustained inflations during chest compressions and physiological-based cord clamping in asytoxic lambs


ABSTRACT

Background The feasibility and benefits of continuous sustained inflations (SIs) during chest compressions (CCs) during delayed cord clamping (physiological-based cord clamping; PBCC) are not known. We aimed to determine whether continuous SIs during CCs would reduce the time to return of spontaneous circulation (ROSC) and improve post-asphyxial blood pressures and flows in asystolic newborn lambs.

Methods Fetal sheep were surgically instrumented immediately prior to delivery at ~139 days' gestation and asphyxia induced until lambs reached asystole. Lambs were randomised to either immediate cord clamping (ICC) or PBCC. Lambs then received a single SI (SIsing; 30 s at 30 cmH2O) followed by intermittent positive pressure ventilation, or continuous SIs (SIcont: 30 s duration with 1 s break). We thus examined 4 groups: ICC +SIsing, ICC +SIcont, PBCC +SIsing, and PBCC +SIcont. Chest compressions and epinephrine administration followed international guidelines. PBCC lambs underwent cord clamping 10 min after ROSC.

Results The time taken to achieve ROSC was not different between groups (mean (SD) 4.3±2.9 min). Mean and diastolic blood pressure was higher during chest compressions in PBCC lambs compared with ICC lambs, but no effect of SIs was observed. SIcont significantly reduced pulmonary blood flow, diastolic blood pressure and oxygenation after ROSC compared with SIsing.

Conclusion We found no significant benefit of SIcont over SIsing during CPR on the time to ROSC or on post-ROSC haemodynamics, but did demonstrate the feasibility of continuous SIs during advanced CPR on an intact umbilical cord. Longer-term studies are recommended before this technique is used routinely in clinical practice.

INTRODUCTION

Birth asphyxia, defined by WHO as “the failure to initiate and sustain breathing at birth”, is responsible for a quarter of all neonatal deaths with the majority occurring on the first day of life. Although rare (~0.2% of all births in Australia), infants exposed to severe asphyxia require cardiopulmonary resuscitation (CPR) in the delivery room, which involves application of chest compressions and administration of epinephrine (adrenaline).

Current neonatal resuscitation guidelines recommend chest compressions (CCs) if the heart rate remains <60/min despite adequate ventilation for at least 30 s.2–4 CCs are provided using a rate of 90/min in sequences of 3 CC followed by a pause to deliver one inflation at a rate of 30/min, which corresponds to a 3:1 compression:ventilation (C:V) ratio.2 3 However, the most effective C:V ratio in newborn infants remains controversial. We have recently described an alternative CC technique, combining continuous sustained inflations during CC (CC +SIcont) which significantly improved time to return of spontaneous circulation (ROSC) and survival compared with 3:1 C:V in a post-transitional piglet model.5 However, a study in lambs showed similar time to ROSC with CC +SIcont and 3:1 C:V.6 A randomised feasibility
trial in preterm infants <32 weeks’ gestation reported significantly shorter (fourfold) time to ROSC with CC +SI\textsubscript{cont} than with 3:1 C:V.\textsuperscript{7} Currently, the SURVIVE trial is comparing these two strategies in newborn infants born >28+0 weeks’ gestational age requiring CC in the delivery room.\textsuperscript{8,9}

Current neonatal resuscitation guidelines recommend immediate cord clamping (ICC) for infants requiring CC.\textsuperscript{2–4} We have recently demonstrated that ventilation and CC while attached to the umbilical cord in newborn lambs, termed physiological-based cord clamping (PBCC), does not impede the ability or increase the time taken to achieve ROSC.\textsuperscript{10} However, it is unclear whether ICC or PBCC influences the outcomes following different respiratory support techniques during CCs.

Our aim was to determine whether PBCC combined with SI\textsubscript{cont} during CCs provides physiological benefit over ICC and a single SI (SI\textsubscript{sing}) followed by standard 3:1 C:V. We hypothesised that continuous SI in both ICC and PBCC groups would reduce the time to ROSC in asystolic near-term lambs, and provide a physiological benefit after ROSC compared with standard resuscitation.

**MATERIALS AND METHODS**

The experimental procedures were approved by Monash Medical Centre Animal Ethics Committee A, Monash University, and were conducted in accordance with the National Health and Medical Research Council of Australia’s guidelines and the ARRIVE guidelines.\textsuperscript{11}

**Instrumentation and delivery**

Pregnant Border-Leicesters ewes (*Ovis aries*) at 139±2 days’ gestation (term = 148 days) were anaesthetised by intravenous injection of thiopentone sodium (20 mg/kg; Jurox, NSW, Australia), followed by tracheal intubation and delivery of inhaled anaesthesia (isoflurane 1.5%–2.5% in oxygenated air; Bomac Animal Health, NSW, Australia). Fetal surgery and instrumentation have been described in detail previously.\textsuperscript{10,12} Briefly, ultrasonic flow transducers (Transonic Systems, Ithaca, NY, USA) were placed around the left main pulmonary artery and left carotid artery, and catheters were inserted into a carotid artery and jugular vein.\textsuperscript{10,12,13} Arterial pressures and blood flows were digitally recorded in real time (1 kHz, Powerlab; ADInstruments, NSW, Australia).\textsuperscript{10} The fetal trachea was intubated with a 4.5 mm cuffed endotracheal tube and lung liquid was drained passively. A transcutaneous arterial oxygen saturation (Sp\textsubscript{O}2) probe (Masimo, Radical 4, CA, USA) was placed around the right forelimb of the lamb and a Near Infrared Spectroscopy optode (Casmed Foresight; CAS Medical Systems Inc, Branford, CT, USA) was placed over the left frontal cortex and used to continuously measure cerebral tissue oxygen saturation (Sct\textsubscript{O}2).\textsuperscript{10} On completion of surgery, the fetus was removed from the uterus, dried and placed on the ewes’ abdomen on a hot water bottle to maintain core body temperature. Once physiological parameters were stable, asphyxia was induced by clamping the umbilical cord (ICC groups) or occlusion of the maternal common internal iliac artery (PBCC groups) as described previously.\textsuperscript{10} The common internal iliac artery remained occluded throughout the experiment (including during CPR). Hypoxia was allowed to progress until lambs became asystolic, defined as mean arterial blood pressure of ~0 mmHg and absence of carotid and pulmonary arterial blood flow traces. Respiratory support was then provided using a T-piece device (Neopuff; Fisher and Paykel Healthcare, Auckland, New Zealand) using one of two strategies:

- A single 30 s SI (SI\textsubscript{sing}) to 30 cmH\textsubscript{2}O using 100% oxygen, followed by positive pressure ventilation with a peak inflation pressure of 30 cmH\textsubscript{2}O, end-expiratory pressure of 5 cmH\textsubscript{2}O targeting 60 breaths per minute; or
- Continuous 30 s SIs (SI\textsubscript{cont}), with a 1 s break between SIs, from initiation of respiratory support until ROSC.

Overall, this resulted in four groups being studied: ICC +SI\textsubscript{sing} (n = 12), ICC +SI\textsubscript{cont} (n = 7), PBCC +SI\textsubscript{sing} (n = 9) and PBCC +SI\textsubscript{cont} (n = 7).

One minute after ventilation onset, CCs were initiated using an asynchronous technique at a 3:1 ratio in the SI\textsubscript{sing} groups, with continuous CCs used in the SI\textsubscript{cont} groups. However, all groups received 90 compressions per minute. Epinephrine (10 µg/kg estimated body weight) was given intravenously 1 min after CCs were initiated, and every 3 min thereafter for a maximum of four doses. On ROSC, defined as end-diastolic blood pressure >20 mm Hg and spontaneous unassisted heart contractions,\textsuperscript{14} lambs were transferred to volume guaranteed ventilation targeting 7 mL/kg with warm humidified air (Dräger Babylog 8000+ ventilator; Dräger, Lübeck, Germany). The fraction of inspired oxygen was adjusted to maintain arterial oxygen saturation between 90% and 95% and ventilation parameters adjusted to maintain PaCO\textsubscript{2} between 45 and 55 mm Hg. Lambs in the PBCC groups underwent umbilical cord clamping 10 min after ROSC.

Lambs were sedated throughout the study (ethical requirement) which prevented spontaneous breathing (Alfaxan intravenous 5–15 mg/kg/h in 5% dextrose; Jurox, NSW, Australia). Carotid arterial blood samples were collected regularly to measure blood gas parameters using a blood gas analyser (ABL90; Radiometer, Brønshøj, Denmark) in order to monitor the lamb’s well-being and guide respiratory support.

**Calculations**

Cerebral oxygen delivery (DO\textsubscript{2}, mL/min/kg) = (CBF • CaO\textsubscript{2})/ brain weight (kg).

Arterial oxygen content (CaO\textsubscript{2}) = ([1.39 • Hb • SaO\textsubscript{2}/100] + [0.003 • PaO\textsubscript{2}]), where Hb is the haemoglobin concentration (g/dL). Alveolar-arterial difference in oxygen was calculated as (713 • FiO\textsubscript{2}) – (PaCO\textsubscript{2}/0.8) – PaO\textsubscript{2}.

**Statistical analysis**

All baseline fetal and physiological data were compared using a two-way ANOVA (GraphPad Prism V.9.0.0; GraphPad Software, CA, USA). Two-way repeated measures ANOVA with Holm-Sidak post hoc comparison was used to compare serial physiological data. Statistical significance was accepted at p < 0.05.

**RESULTS**

**Fetal characteristics**

Fetal characteristics are outlined in table 1. Body weight of ICC lambs was significantly lower than PBCC lambs (p = 0.007), but no other differences were observed. pH was not different between groups. PaO\textsubscript{2} was significantly higher in ICC +SI\textsubscript{cont} compared with ICC lambs (p = 0.02) while PaCO\textsubscript{2} was significantly lower in ICC +SI\textsubscript{cont} lambs compared with PBCC lambs (p < 0.05). Fetal lactate levels were similar in all groups.

At the end of the asphyxia period when asystole was reached and immediately prior to initiation of resuscitation, blood-gas variables were not different between groups (overall mean ± SD: pH: 6.892 ± 0.04; PaCO\textsubscript{2}: 112.4 ± 18.3 mm Hg; PaO\textsubscript{2}: 7.4 ± 4.7 mm Hg; lactate 11.8 ± 2.5 mmol/L).
Return of spontaneous circulation

Two lambs in the ICC group, and one lamb in each of the PBCC and ICC + SIsing groups failed to reach ROSC and were excluded from the analysis. There were no differences in the time taken to achieve ROSC between groups (figure 1). There was no difference in the number of doses of epinephrine required to achieve ROSC between the groups (mean (SD) ICC + SIsing: 1.7±0.9; PBCC + SIsing: 1.7±1.0; ICC + SIsing: 1.9±1.1; PBCC + SIsing: 1.7±1.0 doses).

Physiological parameters

Physiology during chest compressions

During the first minute of CC (prior to epinephrine), mean and diastolic blood pressures were significantly higher in PBCC + SIsing and PBCC + SIsing lambs compared with ICC + SIsing and ICC + SIsing lambs (figure 2). Following epinephrine, mean and diastolic blood pressures during CC remained higher in PBCC + SIsing and PBCC + SIsing groups compared with ICC + SIsing, but not ICC + SIsing lambs. No differences in other physiological variables were observed between groups during CC. Furthermore, within ICC and PBCC groups, SIs did not alter any physiological variables during CC.

Figure 1 Time to return of spontaneous circulation in ICC+SIsing (blue), PBCC+SIsing (red), ICC+SIsing (green) and PBCC+SIsing (purple) lambs. Individual animals are shown with mean (95% CI) included. Black dots indicate lambs which failed to achieve ROSC. This image was created by GRP.

Table 1 Fetal characteristics

<table>
<thead>
<tr>
<th></th>
<th>ICC</th>
<th>ICC+SIs</th>
<th>PBCC</th>
<th>PBCC+SIs</th>
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<tr>
<td>n</td>
<td>10</td>
<td>6</td>
<td>8</td>
<td>7</td>
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<tr>
<td>Birth weight (kg)</td>
<td>4.1 (0.5)</td>
<td>4.6 (0.3)</td>
<td>5.1 (0.7)</td>
<td>4.7 (0.7)</td>
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<tr>
<td>Males n, (%)</td>
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<td>4 (66)</td>
<td>4 (50)</td>
<td>2 (29)</td>
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<tr>
<td>pH</td>
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<td>7.27 (0.02)</td>
<td>7.21 (0.06)</td>
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</tr>
<tr>
<td>PaCO₂ (mm Hg)</td>
<td>57.2 (9.7)</td>
<td>53.3 (7.3)</td>
<td>63.8 (10.1)</td>
<td>58.5 (4.0)</td>
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<tr>
<td>PaO₂ (mm Hg)</td>
<td>18.5 (5.7)</td>
<td>28.0 (5.9)</td>
<td>20.5 (6.7)</td>
<td>22.3 (5.7)</td>
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<tr>
<td>Lactate</td>
<td>6.1 (2.9)</td>
<td>3.1 (1.2)</td>
<td>4.9 (2.9)</td>
<td>4.5 (1.6)</td>
</tr>
<tr>
<td>SaO₂ (%)</td>
<td>38.0 (16.2)</td>
<td>66.2 (10.2)</td>
<td>40.1 (21.9)</td>
<td>52.0 (16.2)</td>
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<tr>
<td>SctO₂ (%)</td>
<td>46.3 (6.6)</td>
<td>53.7 (11.5)</td>
<td>48.0 (8.2)</td>
<td>45.0 (10.3)</td>
</tr>
</tbody>
</table>

Fetal blood gas collected after surgical instrumentation and immediately prior to initiation of asphyxia. Data are presented as mean (SD), unless indicated. ICC, immediate cord clamping; PaCO₂, partial pressure of arterial carbon dioxide; PaO₂, partial pressure of arterial oxygen; SctO₂, cerebral tissue oxygen index as measured by near-infrared spectrometry; SI, sustained inflation.

Physiology after ROSC

Blood-gas and oxygenation data throughout the study are shown in figure 3. After ROSC, pH was significantly higher in ICC + SIsing and PBCC + SIsing lambs compared with ICC + SIsing and PBCC + SIsing lambs. PaCO₂ was significantly higher in ICC + SIsing lambs compared with ICC + SIsing from 9 min, but no other differences in PaCO₂ were observed. PaO₂ was significantly lower in ICC + SIsing lambs compared with ICC + SIsing from 12 min. Lactate was significantly lower in ICC + SIsing lambs compared with all other groups. SaO₂ was not different between groups at any time. SctO₂ was significantly higher in ICC lambs compared with PBCC lambs with no effect of SIs observed. Cerebral oxygen delivery was not different between groups (p=0.11). Alveolar arterial difference in oxygen was significantly lower (better) in PBCC+SIsing lambs compared with ICC+SIsing lambs compared with PBCC+SIsing lambs for the first 6 min after ROSC. However, from 30 min after ROSC, ICC + SIsing lambs had lower AaDO₂ compared with ICC + SIsing and PBCC+SIsing lambs.

Heart rate and blood pressure

Heart rates in ICC+SIsing lambs were significantly higher than ICC+SIsing lambs within the first 30 s after ROSC, while ICC+SIsing lambs had lower HR between 240 and 330 s after ROSC compared with all other groups (figure 4).

Mean blood pressure is detailed in figure 4 while systolic and diastolic blood pressures are included in online supplemental figure 1. Mean and systolic blood pressures were significantly higher in ICC groups compared with the PBCC groups (figure 4 and online supplemental file 1). SIs did not alter the response. Diastolic blood pressure was significantly higher in ICC lambs 2–3 min after ROSC compared with PBCC groups. Overall, SIs caused a significantly lower diastolic blood pressure than SIsing (online supplemental figure 1) irrespective of cord clamping time.

Pulmonary blood flow

Mean and end-diastolic PBF + SIsing in ICC+SIsing lambs were significantly higher during the first 5 min after ROSC compared with all SIsing groups, while mean and end-diastolic PBF were significantly higher in ICC+SIsing lambs between 110 and 210 s after ROSC compared with PBCC+SIsing and PBCC+SIsing lambs (figure 4C and online supplemental figure 1). However, beyond 10 min, SIsing lambs had significantly lower mean, end-diastolic and peak-systolic PBF compared with SIsing lambs.

Carotid blood flow

Mean and end-diastolic carotid blood flows were significantly higher in ICC groups compared with PBCC groups during the first 5 min and 10 min, respectively, after ROSC, with no effect of SIs observed (figure 4D and online supplemental figure 1). Peak-systolic carotid blood flows were significantly higher in PBF groups compared with ICC groups at 15 and 20 min. No effect of sustained inflations was observed.

DISCUSSION

Current neonatal resuscitation guidelines recommend ICC and a 3:1 C:V in newborn infants requiring CC.2–4 It is not known whether this is the optimal way to provide CPR to asystolic newborns. We compared the use of single versus continuous SIs during both ICC and PBCC in asphyxiated near-term lambs. We found that (1) CC during PBCC and ICC resulted in similar time...
We aimed to determine whether SI_cont resulted in a more rapid time to ROSC compared with a SI sing. Contrary to our hypothesis, we found no difference in the time taken to achieve ROSC between SI_cont and SI_sing groups with either ICC or PBCC. A study using post-transitional asphyxiated piglets5 15 and a pilot study in preterm infants 7 reported faster times to ROSC with SI_cont compared with 3:1 C:V . Conversely, Vali et al used a transitional cardiac arrest lamb model and reported similar median (IQR) times to ROSC with 390 (225–405) and 345 (204–465) s.6 The reasons for the differences in outcomes are not clear and may represent species differences given the similar outcomes in transitional lamb studies.

An interesting finding from our study was that mean and diastolic blood pressure was higher during CCs in lambs undergoing PBCC compared with ICC. We have previously considered that CCs with an intact placental circulation may not be feasible due to an inability to increase diastolic blood pressure $\geq 20$ mmHg as a result of the low resistance placental vascular bed. However, our study confirms the findings of our previous study10 and demonstrates that this is not the case, and in fact that the opposite may be true. The potential reason for the higher blood pressure during CCs is unclear, but it is possible that the umbilical arteries passively collapse (thereby increasing afterload) in response to the absence of an internal distending pressure whereas the umbilical veins continue to provide venous return. Further, it is also likely that the ability to increase filling (preload) due to the presence of the umbilical circulation has a greater effect in maintaining blood pressure during resuscitation. Despite the higher mean and diastolic blood pressures during CCs, the time to ROSC was not faster in PBCC groups compared with ICC groups.

A further aim of this study was to determine whether SI_cont improved the haemodynamic transition after ROSC in both ICC and PBCC groups compared with CV. However, we found continuous SIs resulted in a similar physiological response immediately after ROSC compared with conventional ventilation. We have previously demonstrated that a single SI during the initial ventilation of severely asphyxiated lambs, with reduced but ongoing cardiac output, reduced the time taken to restore heart rate and blood pressure16 and cerebral blood flow,17 compared with CV. While we did find that SI_cont lambs had a higher heart rate during the first minute of chest compressions (CCs) (prior to epinephrine) or averaged during the remaining duration of CCs (after epinephrine) in ICC+SIsing (blue), PBCC+SIsing (red), ICC+SI_cont (green) and PBCC+SI_cont (purple) lambs. Individual animals are shown with mean (95% CI) included. Letters that are different indicates statistical difference ($p<0.05$). This image was created by GRP.
rate within the first 30s after ROSC, we did not observe any other effect on blood pressure or cerebral blood flow. This indicates that the benefit of a SI is restricted to the period of lung aeration and, as we would expect the lung to fully have aerated prior to ROSC (ie, during the CCs) in both the CV and SI groups, it is not surprising that we saw no difference between the two groups.

We did find that lambs that received SI cont irrespective of ICC of PBCC had lower diastolic blood pressure after 10 min, which was also observed by Vali et al.6 It is not known why SI cont would reduce diastolic blood pressure ROSC, but it may be due to reduced or impaired venous return. It is not known whether the consequences are beneficial or adverse, and this warrants further study. We also showed that SI cont resulted in lower mean, diastolic and systolic PBF in both ICC and PBCC lambs. Right-to-left shunting across the ductus arteriosus, as evidenced by a negative end-diastolic PBF, is a key feature during PBCC because the placenta continues to act as a low resistance pathway for blood and competes with the lung for right ventricular output.

However, a high proportion of PBCC + SI cont lambs had negative end-diastolic PBF at the end of the study period (ie, at 20 min), which is indicative of a higher pulmonary vascular resistance. Further, pH, PaO₂ and PaCO₂ were worse in SI cont lambs postROSC, which may be a consequence of the reduced pulmonary perfusion. This observation has not previously been made in other studies using large animals as they were conducted largely in non-transitional models without a patent ductus arteriosus, highlighting the importance of conducting these studies immediately at birth.

We previously reported that PBCC reduced the post-asphyxial overshoot in blood pressure and cerebral blood flow, resulting in reduced protein extravasation—an early indicator of cerebrovascular haemorrhage.10 12 In the current study, we again observed that PBCC reduced the overshoot in carotid blood pressure and blood flow compared with ICC. While we did not examine cerebrovascular injury in this study, our previous findings10 12 suggest that PBCC may be neuroprotective in asphyxiated newborns. Conversely, we have previously shown that a single SI can
increase cerebrovascular haemorrhage in mildly asphyxiated lambs. More research is required to ensure that interventions delivered at the time of birth do not cause downstream consequences, particularly for the newborn brain.

Limitations
Our asphyxia model uses lambs which were sedated/anaesthetised and intubated with a tightly sealed endotracheal tube. This prevents endotracheal tube leak and optimises lung aeration during respiratory support, but this may not occur in the delivery room as face masks or uncuffed endotracheal tubes are routinely used. We used two different methods of inducing asphyxia to reduce the overall number of ewes required, which allowed us to use twin pregnancies. This did mean that PBCC and ICC lambs received different methods of asphyxia. However, the same criteria for determining asystole were used for all lambs, and the blood-gas values at asphyxia were similar in all four groups. We used two different types of CCs in this study: 3:1 compression asynchronous ventilation ratio for the SISING groups and continuous CC for the SCONT groups. Previous studies have found that continuous CC provides better minute ventilation and a faster recovery of CBF than the standard 3:1 C:V approach. We did not observe any differences between groups that would indicate that the different CC approaches had an influence on our findings, but the study was not designed to explicitly detect potential differences due to CC technique.

CONCLUSION
In this study, we found no significant benefit of continuous sustained inflations during CPR on the time to ROSC or post-ROSC haemodynamics. We did, however, demonstrate the feasibility of continuous SIs during advanced CPR on an intact umbilical cord. Further longer-term studies are recommended in appropriate transitional models before this technique is used routinely in clinical practice.

Figure 4  (A) Mean heart rates, (B) mean carotid arterial blood pressures (CAP), (C) mean pulmonary blood flows (PBF) and (D) mean carotid arterial blood flows (CaBF) measured at control, fetal (f), at the end of the asphyxia period (A) and on return of spontaneous circulation (ROSC) in ICC+SIsing (blue), PBCC+SIsing (red), ICC+SI_{cont} (green) and PBCC+SI_{cont} (purple) lambs. Data are mean±SEM. * indicates significant difference p<0.05. This image was created by GRP.
ORIGINAL RESEARCH

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available on reasonable request. All data are available upon request to Prof Graeme Polglase.

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