

# Assessment of effective face mask ventilation is compromised during synchronised chest compressions

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## ABSTRACT

**Background** Delivery room cardiopulmonary resuscitation is rare. Recent evidence suggests that effective ventilation may be compromised during chest compressions (CC).

**Objectives** To determine whether trained neonatal personnel can assess effective ventilation during CC in the setting of changing lung compliance.

**Methods** Neonatal providers (n=30) provided CC using a 3:1 CC to ventilation ratio performed for 2 min, with lung compliance adjusted every 30 s from 0.5 (low) to 1.0 mL/cmH<sub>2</sub>O (normal), followed by face mask ventilation (FMV) alone for 1 min. A neonatal lung simulator connected to a neonatal manikin was used to simulate the volume/pressure relation at low and normal compliance.

**Results** Group analysis showed no difference in peak inflating pressure (PIP) at low versus normal compliance, but a threefold increase in tidal volume (TV) (p=0.00005) during synchronised CC. Paired analysis demonstrated minimal change in PIP, but a significant decrease in TV at low versus normal compliance. During FMV only, a significant decrease in PIP and increase in TV was noted with improved compliance. The face mask was incorrectly applied in 12 (40%) cases and in 20/30 (67%) providers did not perceive a change in compliance. During FMV only, 7/30 (23%) took corrective steps to achieve chest rise.

**Discussion** Most providers cannot assess the effectiveness of delivered TV in the face of changing compliance during synchronised CC, limiting the ability to make appropriate and necessary adjustments. This may prolong cardiopulmonary resuscitation and result in escalating therapies unrelated to the delivery of effective ventilation.

## INTRODUCTION

Administration of cardiopulmonary resuscitation (CPR) in the delivery room is uncommon and estimated to occur in about one in 1000 newborn infants.<sup>1–2</sup> The mechanisms leading to profound bradycardia (heart rate <60 bpm) or asystole at birth, are invariably a consequence of an asphyxial process secondary to interruption of placental blood flow. Consequently, effective ventilation is the most important intervention necessary to achieve recovery of spontaneous circulation during delivery room resuscitation.<sup>3</sup> Much of the focus in the past has been directed towards optimising effective chest compressions (CC). Thus, it has been previously shown in manikin models, that use of the two thumb technique and a 3:1 CC to ventilation ratio enhances the ergonomics of CC.<sup>4–7</sup> More recently there has been a focus on provider ability to deliver effective ventilation during CC.

## What is already known on this topic

- ▶ Administration of cardiopulmonary resuscitation in the delivery room is uncommon.
- ▶ Prior focus has been on improving the ergonomics of chest compressions.
- ▶ Recent data suggest that there is substantial mask leak during chest compressions as well as compromised tidal volume with higher chest compression to ventilation ratios.

## What this study adds

- ▶ The majority of providers cannot perceive whether delivery of tidal volume is effective in the face of changing compliance during chest compressions.
- ▶ When compliance improves, providers fail to lower the inflating pressure resulting in a tripling of tidal volume delivered.
- ▶ With face mask ventilation only, some providers took corrective action to achieve chest wall excursion at low compliance, and most lowered inflation pressure with normal compliance.

Schmölzer *et al* used a neonatal manikin and reported substantial mask leak during CC.<sup>8</sup> In a second study, Solevag *et al* noted compromised tidal volume (TV) with higher CC to ventilation ratios when compared with a 3:1 ratio.<sup>9</sup> Upper airway obstruction and low compliance (LC) are additional factors that may compromise the ability to deliver effective TV.<sup>10</sup> Given these potential complicating factors, the provider is often guided by a prompt increase in heart rate and/or chest wall excursion as the best clinical indicators of effective ventilation.<sup>11</sup> During coordinated face mask ventilation (FMV) and CC, the effectiveness of ventilation is more difficult to assess. The availability of a newborn lung simulator consisting of a newborn manikin and an external lung, with the ability to simulate the volume/pressure relation of newborn lungs right after birth (LC) through transition to aerated lungs with normal compliance (NC), provides an opportunity to assess this complex relationship. The study objective was to determine whether trained neonatal personnel can assess effective ventilation during CC in the setting of



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**Table 1** Group analysis of peak inflating pressure (PIP) and tidal volume in subjects at low and normal compliance during the first and second 60 s of combined chest compressions and FMV, and during FMV only

	First minute	Second minute		
CC/FMV	PIP (cm H <sub>2</sub> O) 30 s	TV (mL/cm H <sub>2</sub> O) 30 s	PIP(cm H <sub>2</sub> O) 30 s	TV (mL/cm H <sub>2</sub> O) 30 s
Low compliance	37.5±6.2 (median 40) range 18–45	3.8±1.76 (median 3) range 2–10	38±4.7 (median 40) range 18–45	4.0±2.1 (median 3) range 2–10
Normal compliance	36.2±6.6 (median 38) range 18–45	13.8±6.2* (median 15) range 3–30	35.4±6.9 (median 38) range 20–45	13.1±5.0* (median 15) range 4–20
<i>FMV only</i>				
Low compliance	40.5±5 (median 38) range 18–45	3.7±1.27 (median 3) range 2–8		
Normal compliance	33.4±8.5* (median 35) range 20–45	16.2±3.56* (median 16) range 6–22		

\*p=0.00005 (difference between tidal volume at low vs normal compliance).  
CC, chest compressions; FMV, face mask ventilation; TV, tidal volume.

changing lung compliance. We hypothesised that effective ventilation will be difficult to assess during coordinated FMV and CC with changing lung compliance.

## METHODS

This was an Institutional Review Board approved study and consent was obtained from each subject. Subjects included neonatal nurses (n=18), neonatal fellows (n=5), nurse practitioners (n=2), paediatric residents (n=3) and neonatal attendings (n=2). The protocol included CC using a 3:1 CC to ventilation ratio, and a two-thumb encircling hands technique coupled with FMV using a Laerdal self-inflating bag (Laerdal Corporation, Norway) which was performed for 2 min, with lung compliance adjusted every 30 s from 0.5 mL/cm H<sub>2</sub>O (LC) to 1.0 mL/cm H<sub>2</sub>O (NC). Subjects then performed FMV alone for 1 min which included 30 s at LC and 30 s at NC. Subjects were randomised to begin FMV at either LC or NC.

A Laerdal neonatal lung simulator connected to a modified Neonatal Laerdal manikin (Laerdal Corporation, Norway) was used to simulate the volume/pressure relation of newborn lungs right after birth (LC) and the transition to aerated lungs with NC. The upper airways of the manikin are directly connected to the Newborn Lung Simulator lung which is made of glass. There are no valves to direct the air flow, hence leak is minimised to the amount that could leak from face-mask coupling. Volume of air is measured internally, and is proportional to the distance travelled by the carbon piston within the glass cylinder.

The manikin approximates a 6 kg infant. The ventilation pressures and volumes were continuously recorded and downloaded to a computer for subsequent analysis. Compliance was adjusted while peak inflating pressure (PIP) (cmH<sub>2</sub>O) and inspiratory TV wave forms (mL) were measured. At LC there is minimal chest movement, whereas at NC the chest wall movement is readily apparent. Subjects did not receive feedback on their performance during the study period.

## Methods to improve ventilation with changing compliance during FMV

As part of neonatal resuscitation programme training, providers are instructed to use the acronym MR SOPA—M: mask adjustment R: reposition airway S: suction mouth and nose O: open mouth P:

pressure increase A: airway alternative, if there is no response in heart rate or a lack of chest rise during resuscitation. During the study, subjects were observed for proper mask application and positioning of the neck as well as the use of MR SOPA at any stage.

## Data analysis

The sample size was one of convenience. Data were analysed using t tests (unpaired and paired). Wilcoxon matched pairs analysis was used to test non-normally distributed data. All data are presented as a mean±SD.

## RESULTS

### FMV/CC (group analysis)

During the initial 60 s, the PIP at LC versus NC was 37.5±6.2 versus 36.2±6.6 cm H<sub>2</sub>O (p=0.22). The TV generated at LC versus NC was 3.8±1.76 versus 13.8±6.2 mL/cm H<sub>2</sub>O (p=0.00005). During the second 60 s, the PIP at LC versus NC was 38±4.7 versus 35.4±6.9 cm H<sub>2</sub>O (p=0.052). The TV generated at LC versus NC was 4.0±2.1 versus 13.1±5.0 mL/cm H<sub>2</sub>O (p=0.00005). (table 1)

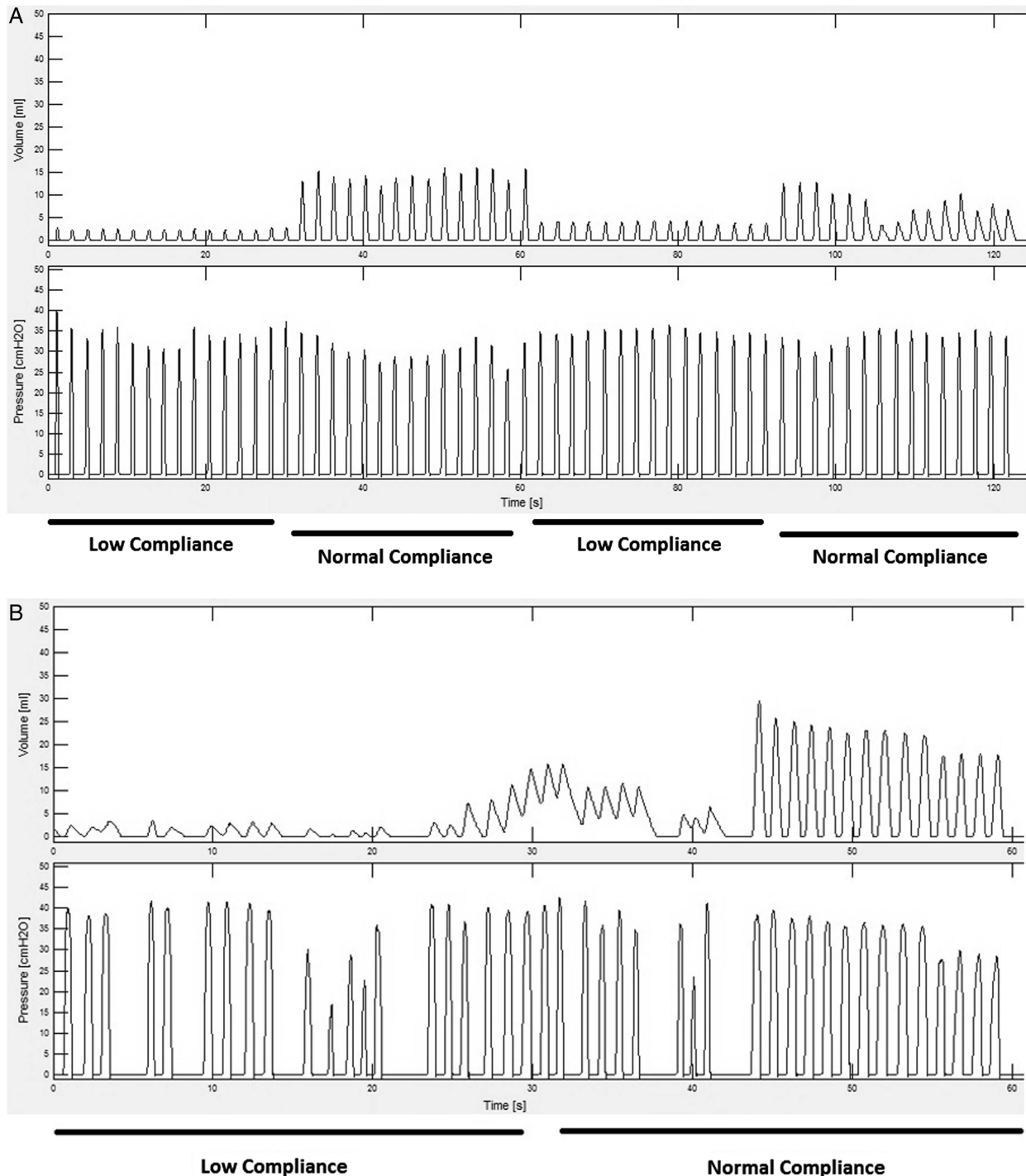
### FMV/CC (paired analysis)

At LC versus NC, the PIP was higher during the first 60 s, that is, 1.7±2.2 cm H<sub>2</sub>O (p=0.0003), but comparable during the

**Table 2** Paired analysis of positive inflating pressure (PIP) and tidal volume in subjects at low and normal compliance during the first and second 60 s of combined chest compressions and FMV, and during FMV only

CPR/FMV	Positive inflating pressure (cm H <sub>2</sub> O)	Tidal volume (mL/cm H <sub>2</sub> O)
Low vs normal compliance (first 60 s)	1.7±2.2*	-10.8±6.5**
Low vs normal compliance (second 60 s)	0 (IQR 3)	-9±4.8**
<i>FMV only</i>		
Low vs normal compliance	7±5.8**	-12.5±3.6**

\*p=0.0003, \*\*p=0.00005.  
CPR, chest compressions; FMV, face mask ventilation.



**Figure 1** (A) Positive inflating pressure (PIP) (bottom panel) and tidal volume (TV) (top panel) tracing from a provider during low compliance (initial 30 s), normal compliance (second 30 s), low compliance (30 s) and normal compliance (30 s) during synchronised chest compressions. Note minimal change in PIP during the two minutes, but a significant increase in TV with normal compliance. (B) PIP (bottom panel) and tidal volume (top panel) tracing from the same provider during low compliance (initial 30 s), normal compliance (second 30 s) during face mask ventilation only. Note attempts to augment ventilation and a reduction in PIP when compliance improved.

second 60 s, that is, 0 (median, IQR 3) ( $p=0.49$ ). At LC versus NC, the TV generated was less at both time points, that is,  $-10.8 \pm 6.5$  and  $-9 \pm 4.8$  mL cmH<sub>2</sub>O ( $p=0.00005$ ) (paired analysis, table 2).

#### FMV only (group analysis)

During the initial 30 s, the PIP at LC versus NC was  $40.5 \pm 5.0$  versus  $33.4 \pm 8.5$  cm H<sub>2</sub>O ( $p=0.00005$ ). The TV generated at LC

versus NC was  $3.7 \pm 1.27$  cmH<sub>2</sub>O versus  $16.2 \pm 3.56$  mL/cm H<sub>2</sub>O ( $p=0.00005$ ).

#### FMV only

At LC versus NC the PIP was higher, that is,  $7 \pm 5.8$  cm H<sub>2</sub>O ( $p=0.00005$ ) and the TV lower, that is,  $-12.5 \pm 3.6$  mL/cm H<sub>2</sub>O ( $p=0.00005$ ) (paired analysis).

### Descriptive analysis

During combined CC and FMV, the face mask was incorrectly applied in 12/30 (40%) of the studies without any attempt to reposition the mask. In 20/30 (67%) cases, the provider did not perceive a change in compliance during the 2 min (figure 1A). During FMV, only 7/30 (23%) took corrective steps as outlined by MR SOPA in order to achieve chest rise (figure 1B).

### DISCUSSION

The findings in this manikin study indicate that when CC are administered while FMV is applied in a 3:1 ratio, the majority of providers (67%) cannot perceive whether the delivery of TV is effective in the face of changing compliance, and failed to take corrective actions at LC. Moreover, as compliance improved, providers failed to lower the inflating pressure resulting in a tripling of the TV delivered. However, when FMV was applied without CC, some providers (20%) took corrective action to achieve chest wall excursion with LC, and most lowered the inflation pressure as compliance improved. Additionally, consistent with prior reports, providers incorrectly applied the face mask in a substantial number of cases with and without CC.<sup>12 13</sup>

Administration of CPR in the delivery room is uncommon.<sup>1 2</sup> Much of the focus in the past has been on optimising effective CC. Thus, it has been previously shown in manikin models, that use of the two-thumb technique and a 3:1 CC to ventilation (CV) ratio enhances the ergonomics of CC.<sup>4-7</sup> More recently, the focus has shifted to provider ability to deliver effective ventilation during CC. Schmölzer *et al* used a neonatal manikin, reported substantial mask leak during CC.<sup>8</sup> In a second study, Solevag *et al* noted compromised TV with higher CV ratios was used as compared with a 3:1 ratio.<sup>9</sup> Although we did not measure leak, approximately 40% of the provider did not apply the mask appropriately. Given these multiple potential problems with FMV, if the heart rate remains low, early consideration for intubation should be given even before initiation of CPR, in order to optimise ventilation.

There are several limitations to this study. First, we used a manikin model in which chest stiffness, resistance or change in compliance may not accurately represent similar characteristics in the same-sized human. Second, the sample size was relatively small. However, the almost uniform failure to identify the change in compliance during CC makes this less of an issue. Finally, there was a lack of objective outcome measures, such as change in heart rate in response to the applied inflating pressure, although with synchronised CPR, providers may not readily perceive difficulties with ventilation in the face of persistent bradycardia.

In conclusion, when administering synchronised CC, providers should focus on delivering effective ventilation. This may be difficult to discern due to numerous factors including LC, improper face mask application and leak, which limits the ability to make appropriate and necessary adjustments. This may prolong CPR, and may result in escalating therapies unrelated

to the delivery of effective ventilation. These findings strongly suggest that when CCs are stopped intermittently to evaluate heart rate, a concomitant quick assessment for optimal face mask application and chest rise should be undertaken to ensure effective ventilation prior to resuming CC, particularly with a persistent low heart rate. In the face of a persistent heart rate <60 bpm, or asystole, early intubation should be considered as the next intervention to enhance effective ventilation.

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