Neonatal thyroid disorders

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Hypothyroxinaemia, which is common in the preterm infant, and thyrotoxicosis, which is rare, are important neonatal thyroid disorders. Their causes and treatment are discussed.

Thyroid hormones are important for energy metabolism, the metabolism of nutrients and inorganic ions, thermogenesis, and for stimulation of growth and development of various tissues at critical periods including the central nervous system and skeleton. A number of tissue and cell functions are modified by varying patterns of genomic activation and protein and receptor synthesis, which accounts for the many variable actions. Thyroid hormones potentiate the actions of catecholamines (the effects of which are prominent in the hyperthyroid state), and their effect on somatic and skeletal growth are in part mediated by stimulation of the synthesis and action of growth hormone and insulin-like growth factor.

In this review, I will discuss the ontogeny of thyroid function, thyroid function in preterm infants, and the use of thyroid hormones in these babies. I will review neonatal thyrotoxicosis and, finally, give suggestions for the investigation of babies born to mothers with thyroid disease.

DEVELOPMENT OF THYROID FUNCTION

During fetal life, the thyroid gland develops with production of thyroxine (T4) and triiodothyronine (T3) and secretion into the serum from about 12 weeks gestation, the levels of which increase to term. Throughout gestation, maternal T4 crosses the placenta in limited amounts, but in the first trimester, this plays a critical role in central nervous system development, as exemplified by the fetal neurological impairment seen in fetal-maternal Pit-1 deficiency1 and severe iodine deficiency.2 In both situations there is severe maternal and fetal hypothyroidism. In areas of endemic iodine deficiency, iodine supplementation to women before pregnancy or up to the end of the second trimester protects the fetal brain from the effects of iodine deficiency whereas third trimester or neonatal supplementation does not improve neurological outcome.3

From the second trimester, the continued transfer of T4 from mother to fetus remains important for those babies with primary thyroid abnormalities, as despite cord blood levels only reaching about 40% of normal in those with a total inability to synthesise T4,4 neurological development is near normal if replacement is started promptly. From mid-gestation, hypothalamic expression of thyrotrophin releasing hormone (TRH), pituitary production of thyroid stimulating hormone (TSH), and thyroidal production of T4 rise steadily until 36 weeks gestation. Even when the fetal thyroid gland becomes autonomous, normal thyroid function in the mother may be important for normal neurological development.5

The bioactivity of thyroid hormone is regulated by enzymic deiodination in peripheral tissues. T4 is converted by outer ring deiodination into T3, which has three to four times the metabolic potency of T4. Both T4 and T3 are inactivated by inner ring deiodination to reverse T3 (rT3) and 3,3′-diodothyronine respectively. Three iodothyronines are involved in this process. Type I deiodinase (D1) has both inner and outer ring deiodination activity. It is located in the liver, kidney, and the thyroid and is important for T3 production. Type II deiodinase (D2) catalyses only outer ring deiodination and is found in the brain, pituitary, and brown adipose tissue. It is important for local T3 production within these tissues. Type III deiodinase (D3) has only inner ring activity and is present in brain, skin, and intestine.6

T3 and T4 are also inactivated to sulphated analogues by sulphotransferase in fetal liver.7 Sulphated iodothyronines are major thyroid hormone metabolites in the fetus,8 and sulphate conjugation of the iodothyronines accelerates deiodination.9

In the fetus, levels of T3 are low, and increase only at the end of gestation. In contrast, rT3 levels are high, only decreasing in late gestation and into the neonatal period. Hence endogenous thermogenesis is minimised and anabolism is promoted. High D3 activity in the placenta (converting a large proportion of T4 and T3 into rT3 and 3,3′-diodothyronine during placental transfer), and in both fetal liver and the liver of preterm babies contribute to the high rT3 levels.10 Both D1 and D2 are present from the third trimester—increased D1 activity mirrored by a rise in T3 from 30 weeks gestation.11 Fetal tissues that are dependent on T3 (particularly the brain) rely on local T4 into T3 conversion via D2.11

THYROID FUNCTION IN THE PRETERM BABY

In the preterm baby, and fetus of similar gestation, the thyroid axis is immature, with reduced hypothalamic TRH production and secretion, an immature response of the thyroid gland to TSH, an inefficient capacity of the follicular cell

Abbreviations: T4, thyroxine; T3, triiodothyronine; TRH, thyrotrophin releasing hormone; TSH, thyroid stimulating hormone; TSI, thyroid stimulating immunoglobulin; PTU, propylthiouracil
of the thyroid to organify iodine, and a low capacity to convert T4 into active T3. Hence, when a baby is born preterm, the level of T4 is lower than that of term babies and correlates with gestational age and birth weight. Levels of TSH and T3 are normal to low, free T4 concentrations are also low, and thyroglobulin levels are high (reflecting increased thyroid gland production of poorly iodinated thyroid hormone precursor). Responses of TSH and T4 to TRH are normal, reflecting that the site of immaturity is the hypothalamus. In addition, hypothyroxinaemia is in part secondary to reduced levels of thyroid binding globulin. These data would suggest that the hypothyroxinaemia of prematurity is physiological.

Normally at delivery at term, with the fall in ambient temperature, there is a surge in TSH to about 80 mU/ml within about 30 minutes. This stimulates the thyroid gland to release T4 and T3, which rise to well above normal levels. In term babies, the total and free T4 levels fall over the next four to six weeks, but are still higher than in older children and adults at six months. T3 levels gradually reach infancy levels between 2 and 12 weeks of age.

In the preterm infant, there is a similar TSH, T4, and T3 surge, but the magnitude is attenuated. In babies born at more than 30 weeks gestation, T4 and free T4 levels increase over the next six to eight weeks to levels comparable to those of babies born at term. However, in the preterm baby born at less than 30 weeks gestation and with very low birth weight (< 1500 g), the TSH and T4 surges are limited and there is often a fall in T4 in the first one to two weeks after birth, and transient hypothyroxinaemia is common. In the preterm baby the more pronounced is this hypothyroxinaemia. Although there is an increase in the incidence of transient primary hypothyroidism in these babies (when the TSH level is also raised), in the majority, the hypothyroxinaemia is associated with a normal TSH level. The severity of the neonatal illness is also reflected in the T4 levels, with infants who require ventilatory assistance for respiratory distress syndrome having the lower T4 levels, possibly suggesting non-thyroidal illness (sick euthyroid syndrome), which may be an adaptive response to illness resulting in a depressed metabolic rate.

The reason for this hypothyroxinaemia is multifactorial, including the loss of the maternal T4 contribution, immaturity of the hypothalamic-pituitary axis, the responsiveness of the thyroid gland to TSH, and immaturity of peripheral tissue deiodination. Iodine balance is negative in the first few weeks after birth in these very low birthweight babies, suggesting an inability to augment thyroidal iodine uptake and increase T4 secretion. These changes are further compounded by iodine deficiency in areas of the world with low environmental iodine, and by the use of iodine-containing antiseptics, drugs, and contrast agents. The relatively low T3 levels are not increased by T4 administration, probably because of low D1 levels in the liver—most of the circulating T3 coming from thyroidal production.

**DOES THIS HYPOTHYROXINAEMIA MATTER?**

In preterm infants, almost all reports document an association between adverse outcome and hypothyroxinaemia. Severe hypothyroxinaemia, as measured on blood spots in newborn screening programmes that utilise T4 (rather than TSH, which is used in the United Kingdom), has been associated with an increase in perinatal mortality and morbidity, with prolonged oxygen supplementation, mechanical ventilation, and hospital stay, an increased incidence of intraventricular haemorrhage, and a greater risk of echolucencies in cerebral white matter on ultrasonography. In the survivors, an increased risk of neurodevelopmental problems, reduced intelligence quotient (IQ), and disabling cerebral palsy has been reported, even when corrected for potential confounders including gestation, fetal growth, and illness severity. A British cohort in which T3 was measured twice in the first week and weekly thereafter in babies of less than 1850 g has shown an association between a T3 level less than 0.3 nmol/l and a reduction on the Bayley mental development index score, and the Bayley psychomotor index score of 8.3 points and 7.4 points respectively at 18 months, even with adjustment for confounding variables known to affect outcome. When reassessed between 7.5 and 8 years, overall IQ was 6.6 points lower in those whose lowest T3 had been < 0.3 nmol/l.

**SHOULD PRETERM BABIES BE SUPPLEMENTED WITH THYROID HORMONES?**

It is unclear whether this association between low T3 or low T4 and short term morbidity; mortality, and long term disability is causal or merely a reflection of illness severity in the most premature babies. However, this has resulted in a number of studies of thyroid hormone supplementation in an attempt to reduce these problems. The numbers in each study are small and the use of different doses at different times and different thyroid hormones (T4 or T3) precludes a meta-analysis. Of the eight published studies, four were randomised or quasi-randomised controlled trials and have been summarised in a Cochrane review. In the first of these studies, Chowdry et al compared treatment with thyroxine with treatment with placebo in babies of 25–28 weeks gestation with hypothyroxinaemia (T4 < 4 µg/dl and TSH < 20 IU/l on two occasions). There was no effect of thyroid supplementation on linear growth, weight gain, head circumference at 10 months, or psychomotor development at 12 and 24 months, and no difference in mortality. Apart from small numbers (11 treated v 12 placebo), it should be noted that T4 treatment was not started until T4 levels were at their lowest (2 weeks), and T4 treatment did not increase T4 levels. In addition, there was evidence of long term psychomotor disability in the T4 treated patients with developmental scores of approximately 85.

Amato et al studied babies of less than 32 weeks gestation, requiring more than 40% supplemental oxygen. Treatment with T4 (50 µg/kg/day in two doses intravenously), started on the first day of life for two days, was compared with no treatment. Mortality (38%) was the same in both groups, although there was a lowered Fio2 in the first 72 hours and there was no long term effect on any other respiratory outcome. Neurodevelopment was not assessed.

Vanhole et al undertook a double blind, placebo controlled study of T4 treatment (20 µg/kg/day from day 1 for two weeks) for babies born at 25–30 weeks gestation. There was no effect on mortality, respiratory complications including chronic lung disease, intraventricular haemorrhage, retinopathy of prematurity, or growth. There was no difference in the Bayley mental development index or Bayley psychomotor developmental index at 7 months corrected age.

Van Wassenhuyse et al also conducted a double blind, placebo controlled study of T4 (8 µg/kg/day for six weeks) in babies of 25–29 weeks gestation. Whereas the T4 levels in the placebo group fell by day 7, they rose in the treatment group in the first 3 days with no nadir at 7 days. Although T4 levels remained raised, they were lowest in babies of 25 and 26 weeks gestation. Both thyrotrophin and T3 levels were suppressed during T3 treatment. On discontinuation of T4 at 6 weeks of age, T4 levels fell and were below cord blood levels at 56 days in babies born at 25 and 26 weeks gestation. There was no significant difference in mortality, severity of respiratory disease, intraventricular haemorrhage, or periventricular leucomalacia. There was no significant difference in Bayley mental development index or psychomotor developmental index performed at 6, 12, and 24 months of age. However, in a posteriori subgroup analysis by gestational age at 24 months, there was a significant difference in the Bayley mental development index (18 points higher) in the T4 treated group born at 25–26
Neonatal hyperthyroidism is rare. The prevalence of Graves’ disease in pregnant women is approximately 0.2%.

Although it is usually stated that only 1–1.5% of their offspring will have overt hyperthyroidism, this figure may be much higher: 5%, 8%, 10%, and 12.5% have been quoted. Thus there will be one case of overt neonatal thyrotoxicosis for every 4000–50 000 deliveries, with a further 3% of babies of mothers with Graves’ disease having biochemical thyrotoxicosis in the absence of symptoms. The incidence of hyperthyroidism in babies of mothers who require treatment with antithyroid drugs to term may be as high as 22%. The mortality has been reported to be 12–20%, usually from heart failure, but other complications include tracheal compression, infections, and thrombocytopenia.

**CLINICAL FEATURES**

Fetal outcome is related to the control of maternal thyrotoxicosis, with complications being considerably increased in mothers who remain hyperthyroid in the second half of pregnancy.

The hyperthyroid fetus is often growth retarded, both from a direct effect of hyperthyroidism and associated pre-eclampsia.

Tachycardia is common and often used to diagnose fetal thyrotoxicosis. Non-immune hydrops has been described, presumably secondary to cardiac failure. Goitre may be apparent on ultrasound scanning.

There is an increased incidence of intrauterine death which has been reported to occur in 5–7% of offspring of mothers receiving medical or surgical treatment for thyrotoxicosis and in 24% of offspring of untreated, hyperthyroid mothers. Pre-term delivery occurs in 4–11% of mothers treated for thyrotoxicosis during pregnancy and in 53% of mothers who remain untreated.

In the neonate, symptoms and signs of thyrotoxicosis may be apparent at birth or may be delayed for several days, because of either the effect of maternal antithyroid drugs or the effect of coexistent blocking antibodies, but are usually apparent by 10 days of life.

However, overt thyrotoxicosis has been reported to occur as late as 45 days after birth. Levels of TSH binding inhibitor immunoglobulin and TSH immunoglobulin levels from mothers during the third trimester and in the infant in the neonatal period correlate well with the development of neonatal hyperthyroidism.

Most infants have a goitre. Central nervous system signs include irritability, jitteriness, and restlessness. Eye signs such as periorbital oedema, lid retraction, and exophthalmos may be present even in the absence of maternal eye signs. Exophthalmos can occur even in thyrotoxicosis secondary to causes other than maternal Graves’ disease.

Cardiovascular signs include tachycardia and arrhythmias, but may progress to cardiac failure. Systemic and pulmonary hypertension may be present. Signs of hypermetabolism include voracious appetite, weight loss, diarrhoea, sweating, and flushing. Other signs include persistent acrocyanosis, hepatosplenomegaly, lymphadenopathy, thymic enlargement, bruising and petechiae secondary to thrombocytopenia, and hyperviscosity. Advanced bone age, craniosynostosis, and microcephaly may be evident in both the fetus and newborn.

The duration of neonatal thyrotoxicosis secondary to maternal Graves’ disease is determined by the persistence of transplacentally acquired maternal TSI and usually remits after 8–20 weeks.

Virtually all infants are euthyroid by 48 weeks postnatal age.

Rarely the thyrotoxicosis persists, possibly because of endogenous TSI production, and these children may require ablative thyroid treatment.

Patients with apparent persistent neonatal Graves’ disease often have an autosomal dominant pattern of inheritance, which is also observed in families with activating mutations in the TSH receptor.

Some families thought to have persistent neonatal Graves’ disease may therefore have TSH receptor mutations.

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**Table 1** Babies at risk of neonatal thyrotoxicosis

<table>
<thead>
<tr>
<th>Mother</th>
<th>Raised thyroid binding immunoglobulin levels in pregnancy</th>
<th>Thyroid binding immunoglobulin level not assessed</th>
<th>Clinical thyrotoxicosis in third trimester</th>
<th>Thionamide required in third trimester</th>
<th>Family history of TSH receptor mutation</th>
</tr>
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<tbody>
<tr>
<td>Baby</td>
<td>Evidence of fetal thyrotoxicosis</td>
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Transient neonatal hypothyroidism may occur in babies of mothers with a current or past history of Graves’ disease. This may be due to transplacental passage of thionamides, but apparent transient “athyroidism” has been documented secondary to the blocking nature of some thyrotrophin binding inhibitory immunoglobulins. Interestingly, some babies may develop transient hypothyroxinaemia, with a picture of central hypothyroidism. The mechanism for this may be suppression of the fetal (and then neonatal) thyroid axis by excessive TSH. With severe maternal hypothyroxinaemia, neonatal thyrotoxicosis may be rendered hypothyroid; however, there is no evidence of greater concern is that the fetus of a mother on thionamides treated with thionamides in pregnancy, carbimazole is rapidly converted in the liver into methimazole, which is the preparation available in the United States. The latter has been linked to the development of cutis aplasia in the offspring of mothers treated with thionamides in pregnancy, although several large series studies have not confirmed this observation. Of greater concern is that the fetus of a mother on thionamides may be rendered hypothyroid; however, there is no evidence of adverse effects, particularly intellectual and growth defects in children exposed to antithyroid drugs in utero compared with both siblings who were not exposed or age matched controls.

There are few data on long term outcome of babies who had neonatal thyrotoxicosis. Daneman and Howard found craniosynostosis in six of eight children who had skull radiographs, and four of six children over 2 years of age who underwent assessment of intellectual function had varying degrees of impairment—all had craniosynostosis. One of three below two years had significant psychomotor retardation and synostosis. Physical growth was normal in all children, in contrast with the findings of Hollingsworth and Mabry, who reported poor growth in three of four patients and psychological impairment in all four. The patients assessed by Hollingsworth and Mabry possibly had a gene mutation in the TSH receptor, as all had an autosomal dominant history of neonatal thyrotoxicosis.

MANAGEMENT OF BABIES AT RISK OF NEONATAL THYROTOXICOSIS

Figure 1 shows a suggested scheme for the management of a baby at risk of neonatal thyrotoxicosis. This will include all babies of mothers with a current or past history of Graves’ disease (including those who have had ablative treatment with surgery or radioiodine), and those rare families with a family history of neonatal thyrotoxicosis secondary to TSH receptor mutations. The diagnosis of euthyroid, hypothyroid, or hyperthyroid has to be made in the light of expected TSH and T4 levels for postnatal age, as the huge surge in both after delivery will necessarily mean that the usual normal ranges for adults and older children are inappropriate.

Babies at high risk of thyrotoxicosis (table 1)—that is, those who have had evidence of thyrotoxicosis in utero, those whose mothers are receiving antithyroid treatment at the time of delivery, and those whose mothers have high titres of TSI, or there was evidence of fetal hyperthyroidism—may require close observation in hospital for the first few days after delivery. Although levels of maternal TSI that are five times the upper limit of normal are associated with fetal and neonatal thyrotoxicosis, much lower levels may also result in fetal and neonatal thyrotoxicosis.

For babies at lower risk—for example, those whose mothers have normal TSI levels—follow up may be more relaxed and the babies discharged immediately after delivery. In all cases, parents should be advised on the symptoms of thyrotoxicosis and the general practitioner should be informed.

BREAST FEEDING

Both propylthiouracil (PTU) and methimazole (from carbimazole) are detected in breast milk but appear not to affect neonatal thyroid function if the mother’s dose of carbimazole is less than 15 mg a day and of PTU is less than 150 mg a day. PTU, which is highly protein bound, is excreted into the milk in much lower concentrations (0.025–0.077%) than methimazole, which has a serum to milk ratio of 1:1. Therefore, for mothers on thionamides wishing to breast feed, PTU would be preferable, but carbimazole is not contraindicated.

TREATMENT OF NEONATAL THYROTOXICOSIS

It is unclear whether biochemical thyrotoxicosis in the absence of clinical symptoms and signs should be treated. Although there are few data, my own feeling is that the long term outcome of babies with neonatal thyrotoxicosis is of concern, so I would recommend that all but the mildest biochemical hyperthyroidism should be treated. It is probable, however, that the effects on the developing brain and skeleton have been initiated in utero. Induced hypothyroidism secondary to treatment may be just as detrimental and needs to be closely monitored.

Medical treatment follows the same principals as treatment of older subjects. Thionamides (PTU and carbimazole) act by blocking the organification of iodine and the coupling of iodothyronine residues—that is, blocking thyroid hormone synthesis. In addition, PTU inhibits the peripheral deiodination of T4 to more active T3 and may therefore be the preferred drug.

The thyrotic newborn may be treated with either 5–10 mg/kg/day PTU in three divided doses or 0.5–1.5 mg kg/day carbimazole as a single daily dose. As the drugs block the synthesis but not the release of thyroid hormones, a clinical response to thionamides may not occur until the thyroid hormone store in the colloid is depleted. Therefore iodine solution, which suppresses thyroid hormone synthesis and has a prompt effect in inhibiting the release of thyroid hormones, may be used in conjunction. Saturated KI (48 mg iodine per drop) may be given in a dose of 1 drop daily, or Lugol’s solution (5% KI; about 8 mg iodine/drop) may be given in a dose of 1 to 3 drops daily. By virtue of their iodine content inhibiting thyroid secretion and their ability to inhibit extrathyroidal conversion of T4 into T3, iopanoic acid and sodium iodate have also been used successfully in the treatment of neonatal thyrotoxicosis. The stated dose is 0.5 g every three days.

β-Blockers are effective in controlling symptoms caused by adrenergic stimulation. In addition, they inhibit deiodination of T4 to T3. Propranolol may be used in a dose of 0.27–0.75 mg/kg 8 hourly. However, it can cause serious hypoglycaemia, bradycardia, and hypotension, so babies require close monitoring. Specific treatment for cardiac failure may be required, for example with digoxin and diuretics.

Severely thyrotoxic babies may be treated with prednisolone, which suppresses deiodination of T4 to T3 and compensates for hypercatabolism of endogenous glucocorticoids induced by T3 and T4. The dose of prednisolone is 2 mg/kg/day.

Sedatives may also be helpful in managing irritability and restlessness. Exchange transfusion has been used in an attempt to reduce TSI levels (or incidentally to treat haemolytic disease of the newborn), with some reduction in antibody levels but failing to prevent neonatal thyrotoxicosis. If a baby requires treatment for thyrotoxicosis, it should be reviewed approximately weekly until stable, then every one to two weeks, and drug doses reduced when possible. Treatment
(1) Maternal hypothyroidism

This is usually secondary to Hashimoto’s thyroiditis and the mother may be producing thyroid inhibiting or rarely thyroid stimulating antibodies so the baby may develop transient hypothyroidism or, very rarely, hyperthyroidism. These babies should be reviewed at 10 days to 2 weeks and thyroid function taken (TSH and fT4).

If the maternal hypothyroidism is secondary to congenital aplasia or hypoplasia of the thyroid gland, there is only a slightly increased risk to the baby of hypothyroidism and Guthrie should suffice.

If the hypothyroidism is secondary to treatment (surgery or radioiodine) for Graves’ disease, the baby is at risk of neonatal thyrotoxicosis and will need to be managed as below.

(2) Maternal thyrotoxicosis

**Babies at risk for congenital hyperthyroidism**
(Maternal Graves’ disease, family history of activating mutations in TSH receptor)

- Cord blood for fT4, TSH, TSI (if available) concentration + examination
- If high risk (table 1) repeat fT4, TSH + examination
  - Age 2–7 days:
  - In all babies, repeat fT4, TSH and examination
    - Age 10–14 days

Results of thyroid function from any of the above:

- Normal
- Hypothyroid
  - Repeat fT4 and TSH
  - Treat with thyroxine if confirmed
- Hyperthyroid
  - Consider treatment
  - PTU/carbimazole
  - +/- iodide
  - +/- propranolol

**Figure 1** Investigation of babies of mothers with thyroid disease. TSH, Thyroid stimulating hormone; fT4, free thyroxine; TSI, thyroid stimulating immunoglobulin; PTU, propylthiouracil.

of thyrotoxicosis in babies of mothers with Graves’ disease is usually required for four to eight weeks. In contrast, thyrotoxicosis secondary to activating mutations of the TSH receptor is persistent and may require ablative treatment usually with surgery. In view of the uncertain long term complications, neurodevelopmental follow up may be appropriate.

**SUMMARY**

In the preterm infant, hypothyroxinaemia is common. Although the degree of hypothyroxinaemia is associated with morbidity and mortality, it is unclear whether this is the cause or simply a reflection of illness severity. Supplementation with thyroid hormones is unlikely to influence tissue levels of T3, which are determined by specific deiodinase enzymes, and may even be detrimental.

Neonatal thyrotoxicosis is rare, but in babies born to mothers with a current or past history of Graves’ disease is more likely to occur if there are high levels of maternal TSI, or thyrotoxicosis develops or requires treatment in pregnancy, or if the fetus is affected. Cord blood should be taken to assess thyroid function, and babies should be reviewed clinically and biochemically at 2 days (if the risk of thyrotoxicosis is high or unknown) and 1–2 weeks of postnatal age for evidence of thyrotoxicosis or hypothyroidism. Thyrotoxicosis should be treated with a thionamide, with or without iodide, or iodine-containing contrast medium. Propranolol and corticosteroids should be considered in severe cases. The general practitioner should be informed and the parents warned about potential signs of thyrotoxicosis.

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Archimedes

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